# PCAT: Functionality and Data Stealing from Split Learning by Pseudo-Client Attack

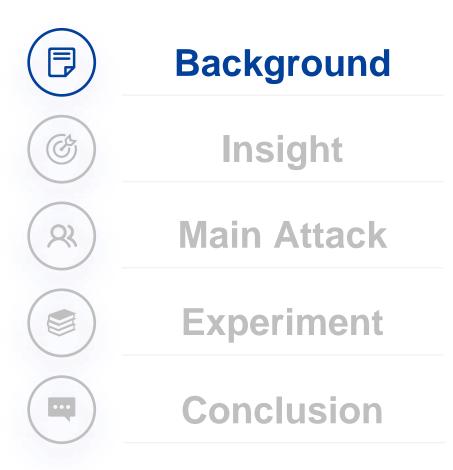
**USENIX Security 23** 

Xinben Gao Lan Zhang\*







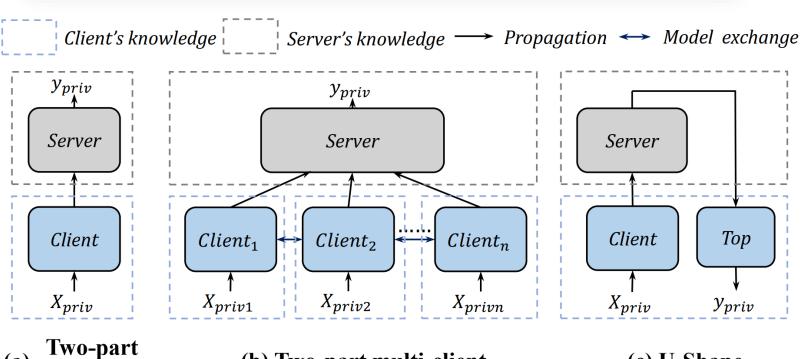


# **Background: Split learning (SL)**



A paradigm of distributed ML.

Design for protecting the client's privacy.



Is there any risk of leaking private information?

(a) Two-part single-client

(b) Two-part multi-client

(c) U-Shape

# **Background: Previous Work**



	FSHA[1]	UnSplit[2]	PCAT(Ours)
Attack	Malicious	Semi-honest	Semi-honest
Functionality Stealing	×	√	√
Input reconstruction	√	√	√
Label inference	×	√	√
Suit complex case	√	×	√

<sup>[1]</sup> Dario Pasquini, Giuseppe Ateniese, and Massimo Bernaschi. Unleashing the tiger: Inference attacks on split learning. (CCS2021)

<sup>[2]</sup> Ege Erdogan, Alptekin Küpçü, and A. Ercüment Çiçek. Unsplit: Data-oblivious model inversion, model stealing, and label inference attacks against split learning. (WPES@CCS 2022)

#### **Attack Goals**



#### More general and challenging scenario:

**Transparent** to the client

Minimal knowledge about the client model

Support more complex client models and tasks

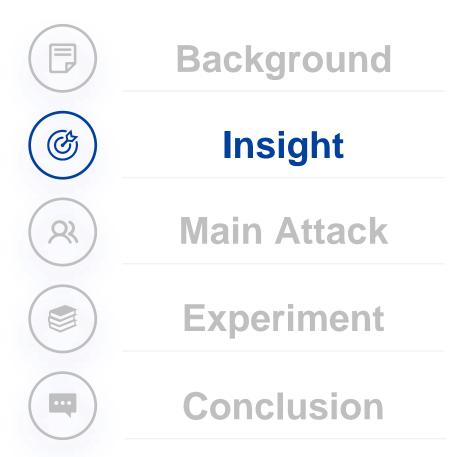
Effective against three variants of SL

Resilient to some defensive methods

#### **Assumption**

The server has a tiny dataset for the same learning task





# Insight



Model trained on a small dataset (attack model)

Steal Functionality Model trained on a large dataset (victim model)

scenarios

- Stealing a complete model
- 2. Stealing a client model

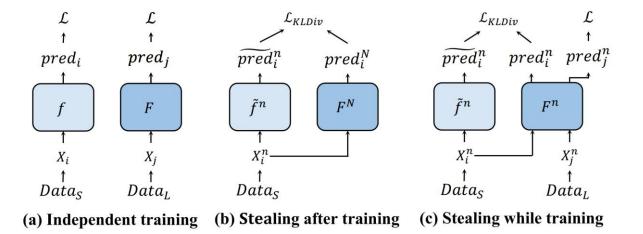
strategies

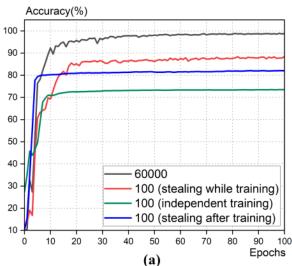
- 1. Stealing after training
- 2. Stealing while training

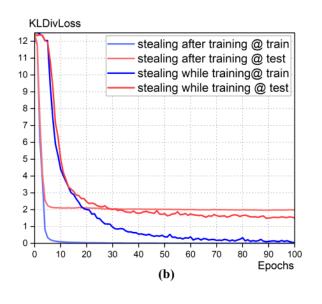
# Insight: Steal a complete model



The evolving learning targets can "guide" the attack model to converge more precisely to the victim model.





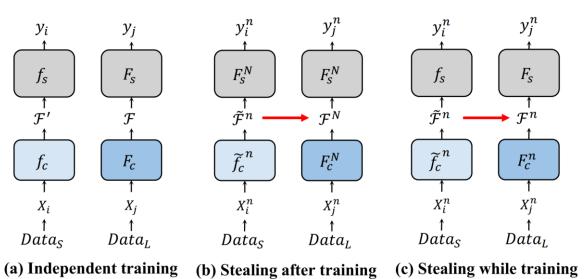


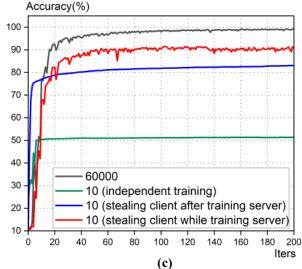
### **Insight: Steal a client model**

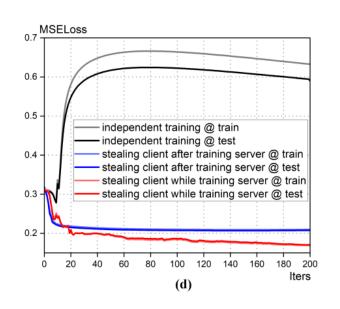


#### **Challenge:**

- 1. The attack client can't obtain the victim client, it only obtain the server model.
- 2. The attack client can't feed data to the victim client and get soft labels generated by the victim client.



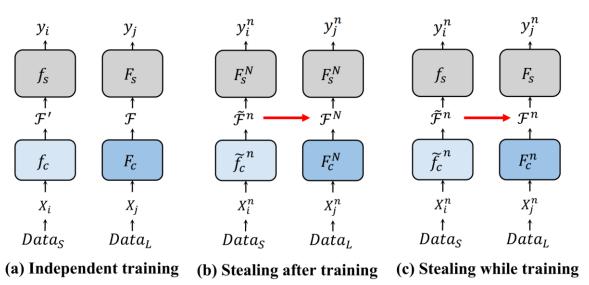


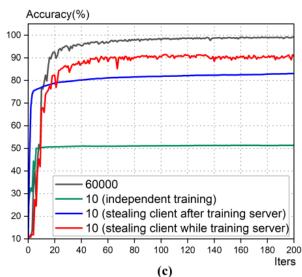


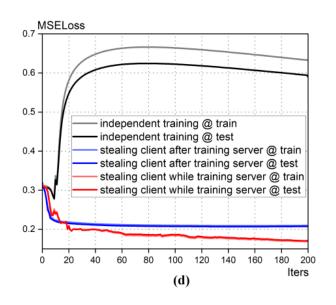
### **Insight: Steal a client model**



The attack client optimizes the feature space of its output to get closed to the feature space of the victim client's output.















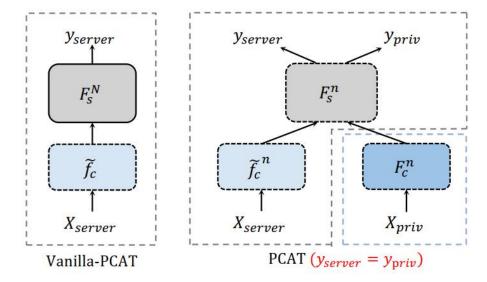


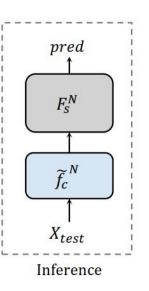


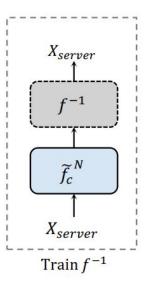
### Pseudo-client Attack (PCAT)

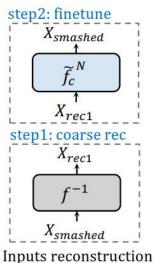


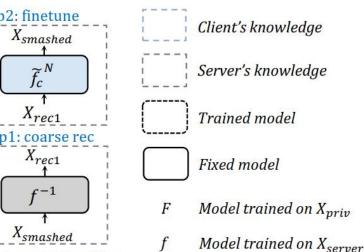
- **Steal functionality**
- **Perform inference alone**
- **Train reverse mapping**
- **Reconstruct private inputs**





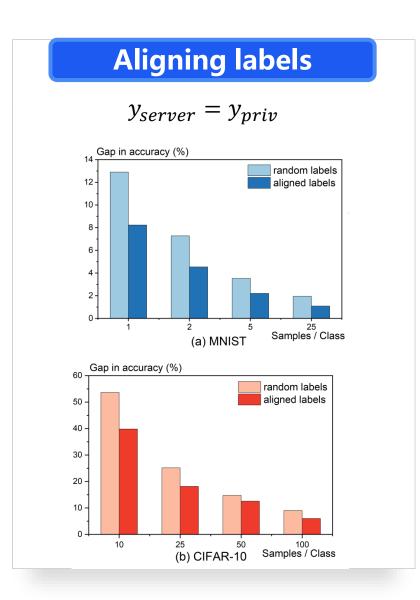


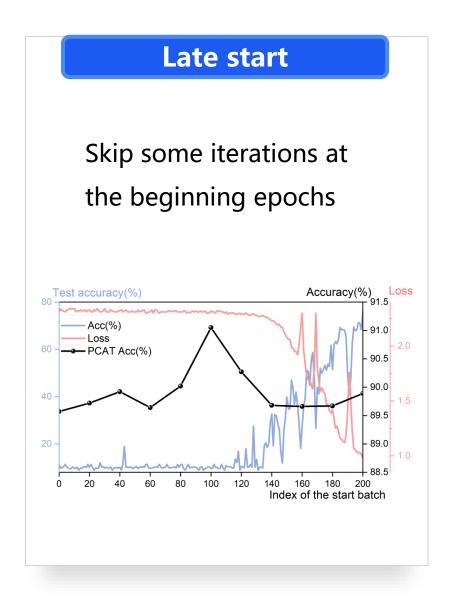




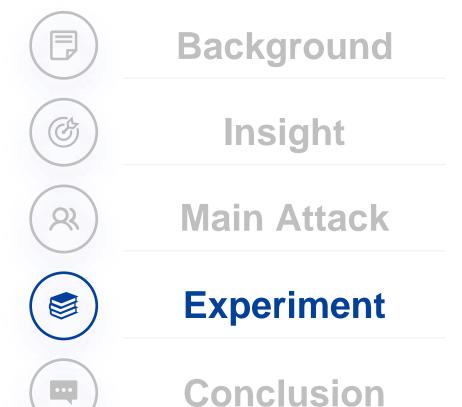
### **Details of PCAT**





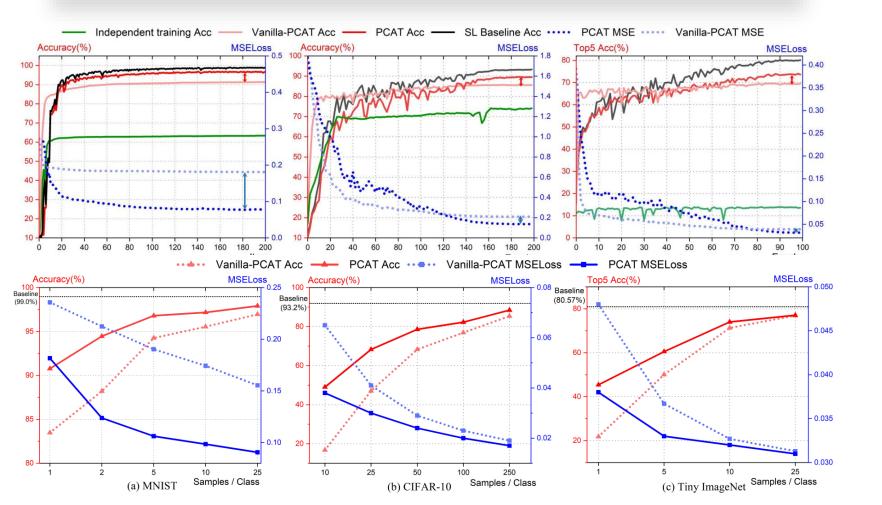








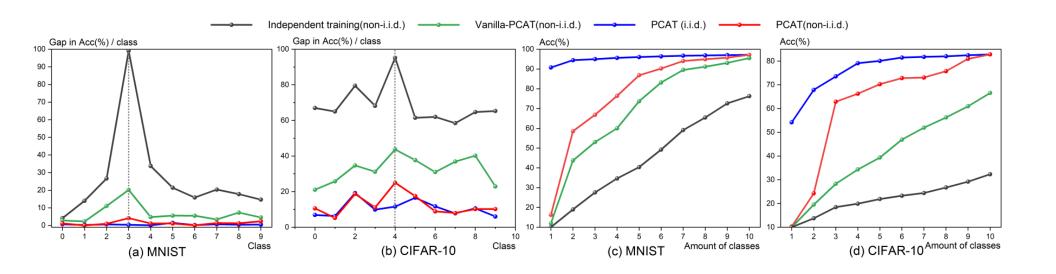
# **Functionality stealing result on MNIST, CIFAR-10 and Tiny-Imagenet**





Functionality stealing result on non-i.i.d. dataset.

PCAT is robust to non-i.i.d. cases.





PCAT performs well though the server model and the victim model is different.

	]	Victim		
	Simple	Same	Complex	client
Model	MaxPool ReLU Conv2d	MaxPool ReLU Conv2d  MaxPool ReLU Conv2d	MaxPool ReLU Conv2d  ReLU Conv2d  MaxPool ReLU Conv2d	MaxPool ReLU Conv2d  MaxPool ReLU Conv2d  †
Acc(%)	73.60	97.17	97.13	99.06
MSE	0.387	0.133	0.141	0

		Victim			
	Simple	Same	Complex	Other	client
Model	MaxPool Conv2d  MaxPool Conv2d  f	MaxPool Conv2d Conv2d MaxPool Conv2d Conv2d Conv2d	MaxPool Conv2d Conv2d Conv2d  MaxPool Conv2d Conv2d Conv2d Conv2d Conv2d	ResBlock t ResBlock	MaxPool Conv2d Conv2d MaxPool Conv2d Conv2d Conv2d
Acc(%)	87.54	88.90	88.35	84.96	93.20
MSE	0.0279	0.0134	0.0166	0.0511	0



# Our attack is resilient to privacy defenses the victim clients may adopts.

#### NoPeek defense

#### DP-noise on the client model

MNIST					MNI	ST				
α	0	0.2	0.4	0.6	0.8	σ	+∞	70	60	50
Baseline Acc(%)	99.00	98.52	98.10	96.98	94.33	Baseline Acc(%)	99.00	94.10	90.79	84.71
PCAT Acc(%)	98.01	97.27	96.89	93.41	92.55	PCAT Acc(%)	97.31	91.12	88.66	80.84
Acc(%) Gap	0.99	1.25	1.21	3.57	1.78	Acc(%) Gap	1.69	2.98	2.13	3.87
	Cl	FAR-10					CIFAI	R-10		
α	<b>C</b> I	<b>IFAR-10</b> 0.1	0.2	0.4	0.6	σ	CIFAI +∞	<b>R-10</b> 200	100	50
α Baseline Acc(%)				0.4 68.04	0.6 62.61	σ Baseline Acc(%)			100 80.17	50 73.17
	0	0.1	0.2				+∞	200		



# Appropriate Gaussian noise to the smashed data can improve attack performance

#### DP-noise on smashed data

		0.4	0.0	0 =
σ	0	0.1	0.3	0.5
Baseline Acc(%)	80.28	79.80	79.90	80.07
PCAT Acc(%)	74.52	77.79	79.00	79.45
MSE	0.0362	0.0864	0.2108	0.3690
		g S		
Sec. Sinta			100	



Our attack outperforms SOTA method in every attack goals.

#### Functionality stealing

Datasets	MNIS	T	CIFAR-	-10
Methods	UnSplit [9]	PCAT	UnSplit [9]	PCAT
SL Baseline	98.00	99.00	71.00	93.20
split layer = 1	93.75	98.75	43.69	91.10
split layer $= 2$	63.3	96.79	22.12	78.57

#### Label inference

Datasets	MNI	ST	CIFA	R-10
Methods	UnSplit	PCAT	UnSplit	PCAT
top layer = $1$	100.0	98.82	100.0	93.42
top layer = $2$	9.1	96.58	8.1	92.57

#### Data reconstruction

	UnSplit	PCAT
truth		
layer1		
layer2		
layer3		











Conclusion

### Conclusion



#### A novel attack

**Applicable on various split learning settings** 

Achieve several attack goals

**Unknown** victim client model

Works effectively for rich models, tasks and settings

**Transparent** to the client



# Thank you!

#### Please feel free to contact with us:

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