

Integrating Differential Privacy and Contextual Integrity

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PEPR '22
USENIX

Differential Privacy (DP)

A parameterized notion of algorithmic privacy for databases.

It bounds the impact of any one data entry on the result of analysis of the database.

$$\Pr[M(X) \in \mathcal{S}] \leq e^\epsilon \Pr[M(X) \in \mathcal{S}] + \delta$$

The parameters (here ϵ , δ) encapsulate trade-offs between privacy and accuracy.

DP provides no guidance about the choice of parameters.

We see this as a challenge for practitioners, and one emblematic of the state of privacy enhancing technologies (PETs) more generally.

Contextual Integrity (CI) - Contexts

A social theory of privacy for interdisciplinary research. (Nissenbaum, 2009)

(a) Privacy is *appropriate information flow*:

(+ appropriate flow) and (- inappropriate flow)

(b) *Appropriateness* refers to *information norms* that inhere in a social context, e.g.: health care, education, etc.

(c) Social contexts have a *purpose*, defined *roles* that people fill, and relevant information *attributes*

Contextual Integrity (CI) - Norms

(a) *Information norms* are parameterized in terms of:

Sender, Receiver, Subject, Attribute, Transmission Principle

Example: *Radiologist, General Doctor, Patient, X-rays, Confidentiality*

(b) Information norms are legitimized by how they balance contextual **purposes** (e.g. a healthy society) with individual **ends** (doctors limiting liability)

CI is used to analyze privacy norms in legal and ethical analysis, as well as technical design.

Why integrate DP and CI?

CI is a rubric for collecting contextual information that is needed to make normative decisions about information flow.

This information can then be used to tune DP parameters:

Tune parameters to optimize *appropriate information flow* given contextual purposes.

We can also contribute back to CI refinements and insights from PET practice.

Information properties: modulating information flow. I.e “with Gaussian noise”.

Contributions: Privacy Theory

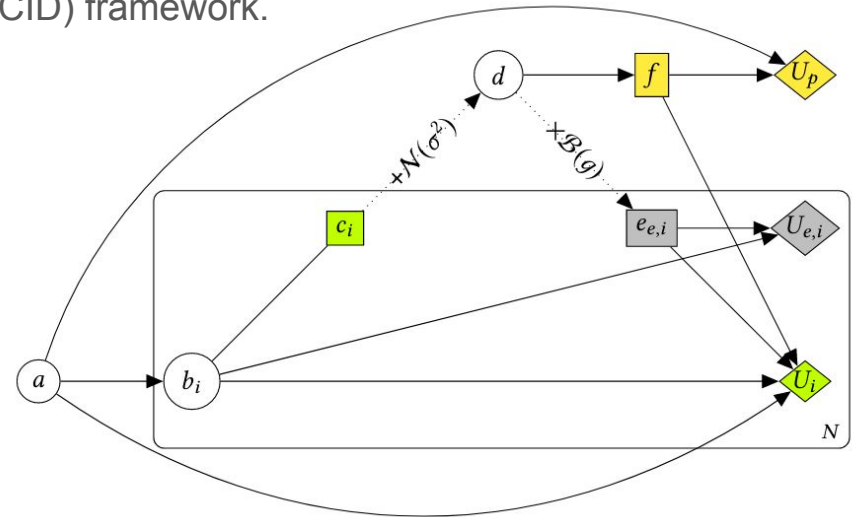
- **New formalization of CI.** Based on a systematic review of previous computer science implementations of CI (Benthall et al., 2017) and our use case of tuning and communicating PETs parameters.
- **Integrated rubric for privacy analysis.**
Normative and Descriptive; Contexts and Flow.

Transmission Properties	Transmission Principles	Situation	Sphere
Flow Descriptive	Flow Normative	Context Descriptive	Context Normative
Flow with no PET	Consent	With N population	Nationwide
With Gaussian noise	Reciprocity	Bounds on adversary	Interpersonal
With Laplace noise	Disclosure	With X auxiliary information	Health
Encrypted	With a warrant		Financial
Securely Aggregated	Minimized		Educational

Table 1. Elements of continuous information design combining CI and DP.

Contributions: Parameter Tuning Procedure

- **Privacy Modeling.** Components of integrated privacy rubric combine into contextualized model of information flows and threats.
 - Potential PETs and parameters are represented in the model
 - Modeling built on Causal Influence Diagram (CID) framework.
- **Parameter tuning as optimizing appropriate information flow.** Contextualized model operationalizes purposes and appropriateness as equations for the optimization problem.



Case study: U.S. Census

Purpose:

- Allocate seats for Congress.
- Social science research

Roles:

- U.S. Census Bureau (sender)
- U.S. residents (subject)
- Researchers (receiver)
- General public (receiver)

Attributes:

- PL 94-171 (redistricting dataset);
- Public-Use Microdata Sample
- Restricted-Use Data: detailed information on U.S. persons

Information Norms:

- Redistricting dataset - produced from Decennial Census survey data
 - with PET use.
- Public-Use Microdata Sample - produced from the American Communities Survey data
 - with PET use.
- Restricted-Use Data: produced from the American Communities Survey data
 - with PET use.
 - Available only to “qualified researchers with approved projects”
 - Access in secure Federal Statistical Research Data Centers (RDC) with no data export.

Other use cases: federated learning with smartphone data, interstate medical data sharing, ...

Thank you! Contact: spb413@nyu.edu ; rac2239@columbia.edu