



# Three steps is all you need

fast, accurate, automatic scaling decisions  
for distributed streaming dataflows

**Vasiliki Kalavri**<sup>†</sup>, John Liagouris<sup>†</sup>, Moritz Hoffmann<sup>†</sup>,  
Desislava Dimitrova<sup>†</sup>, Matthew Forshaw<sup>††</sup>, Timothy Roscoe<sup>†</sup>

<sup>†</sup>Systems Group, Department of Computer Science, ETH Zürich, [firstname.lastname@inf.ethz.ch](mailto:firstname.lastname@inf.ethz.ch)

<sup>††</sup>Newcastle University, [firstname.lastname@newcastle.ac.uk](mailto:firstname.lastname@newcastle.ac.uk)

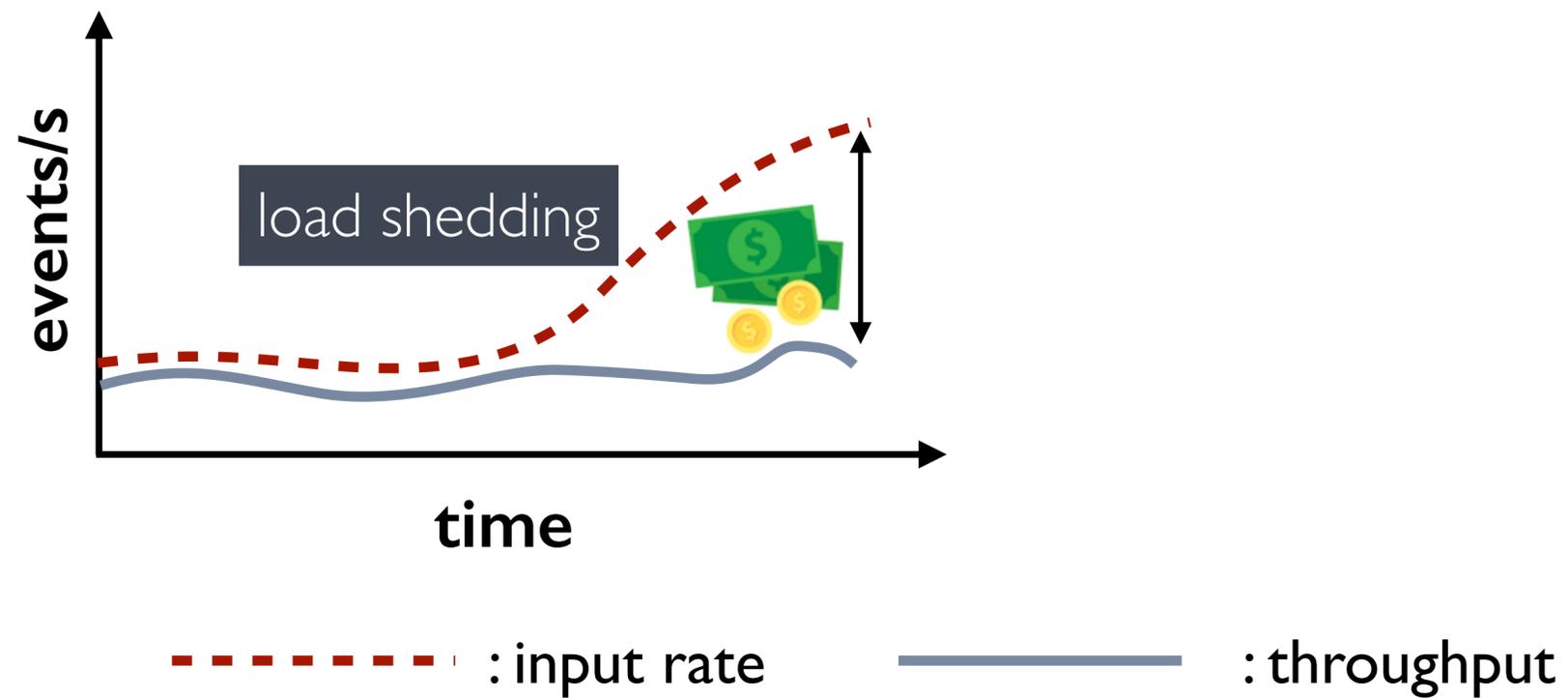
Support:



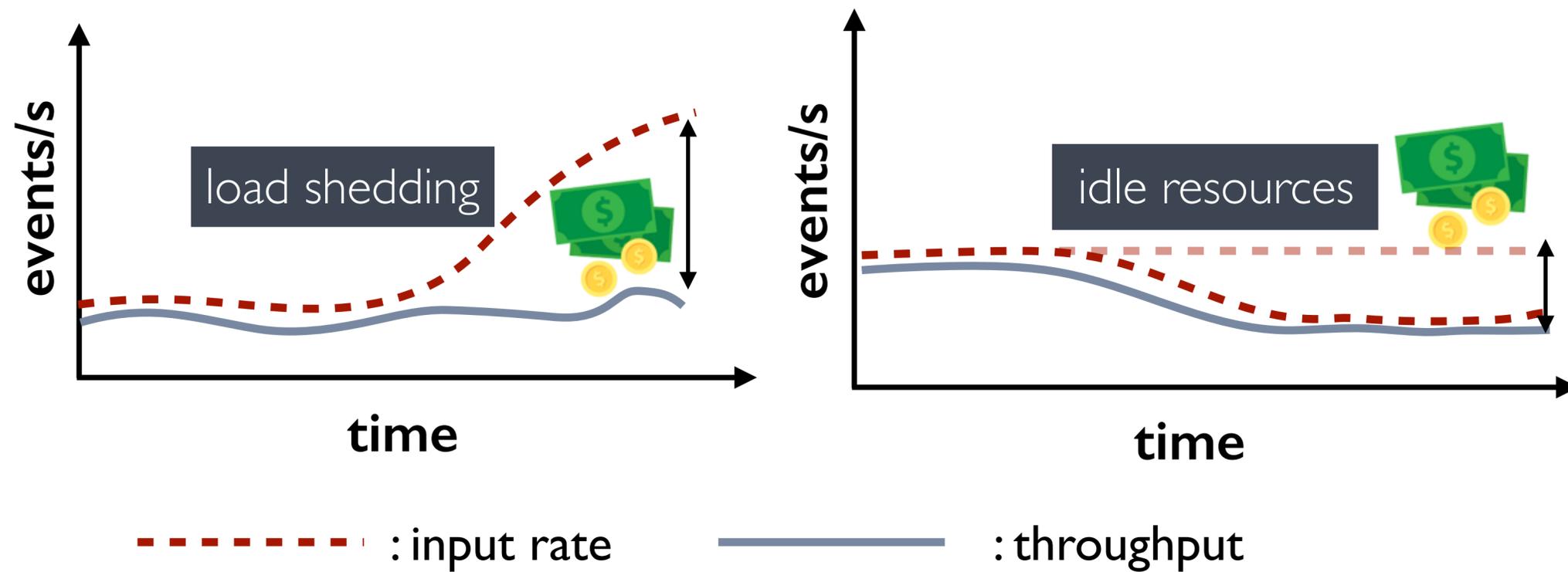
vmware<sup>®</sup> RESEARCH

***Any streaming job will inevitably become over- or under-provisioned in the future***

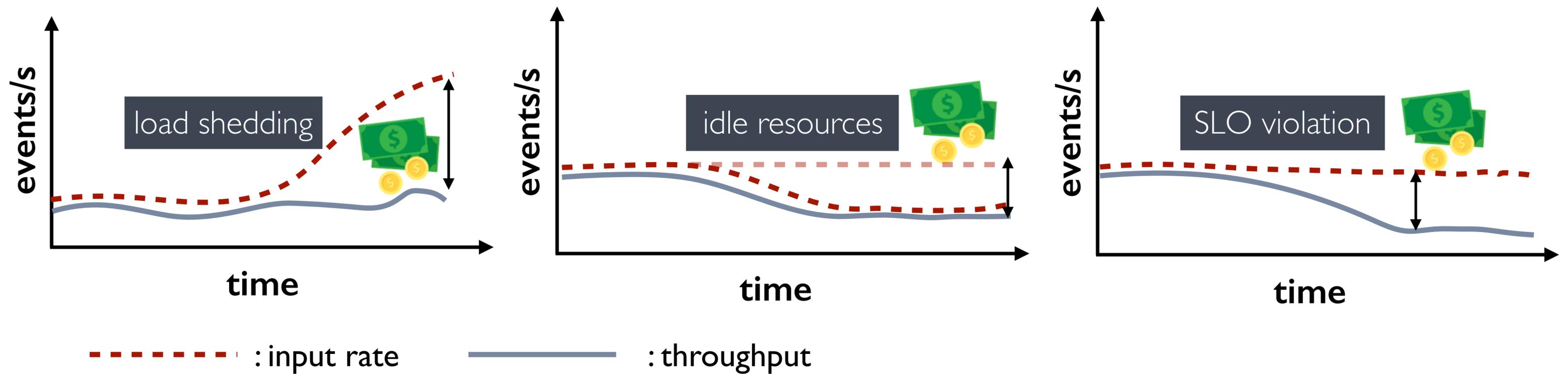
*Any streaming job will inevitably become over- or under-provisioned in the future*



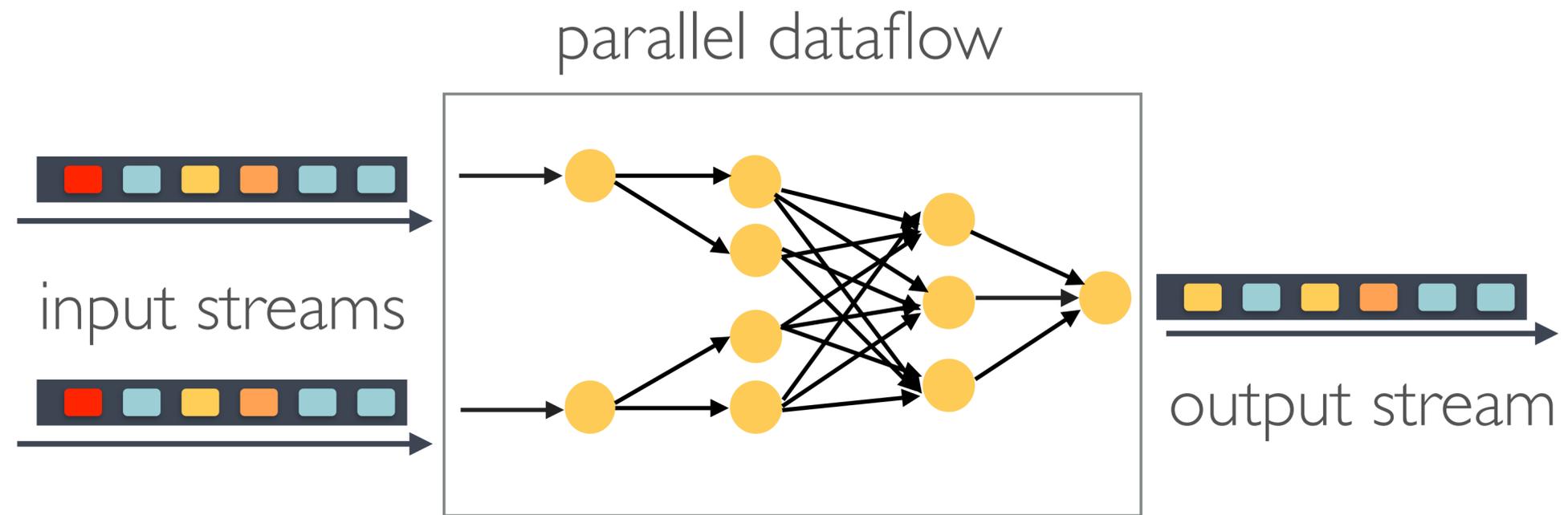
# *Any streaming job will inevitably become over- or under-provisioned in the future*



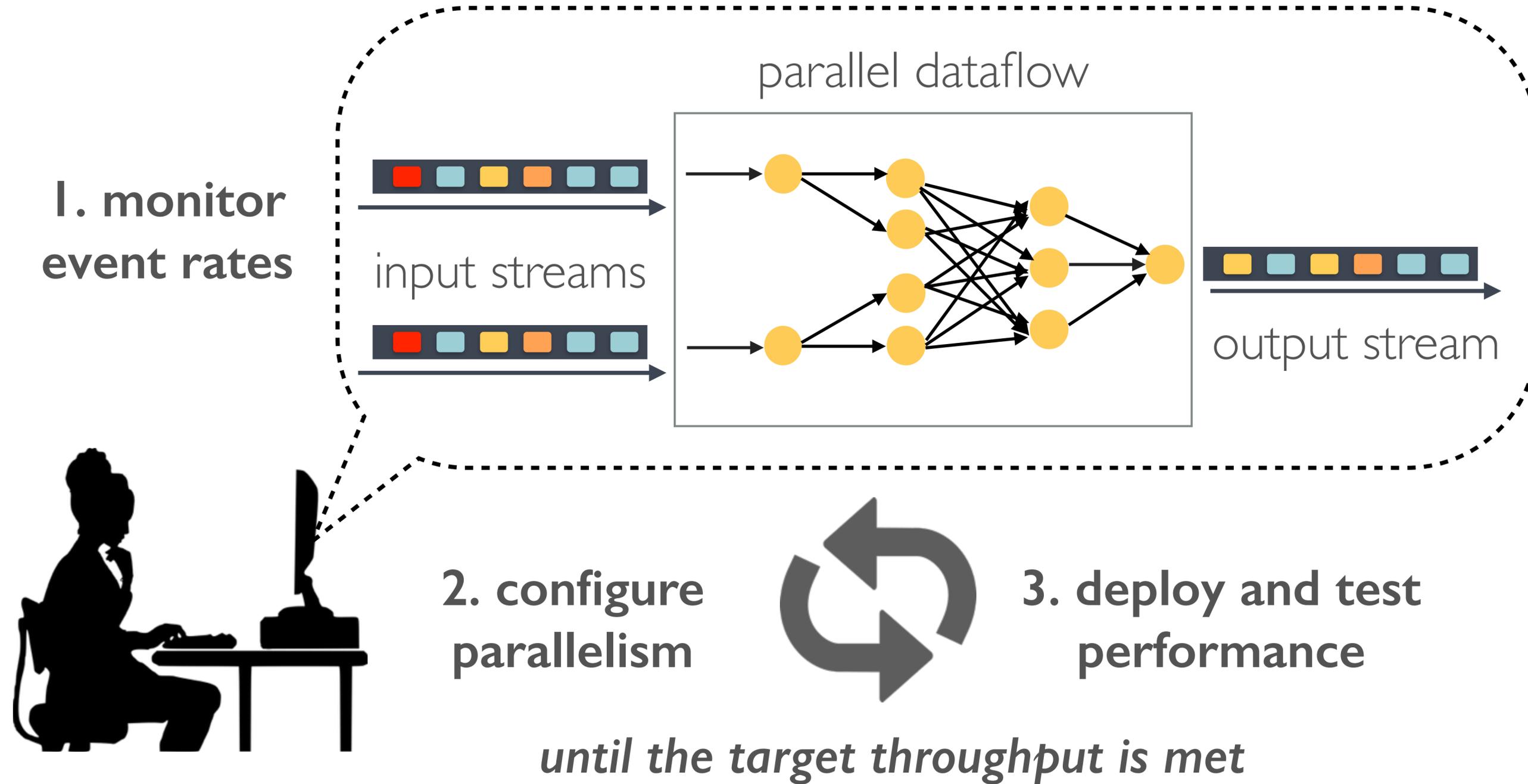
# *Any streaming job will inevitably become over- or under-provisioned in the future*



# CONFIGURING PARALLELISM FOR A STREAMING JOB

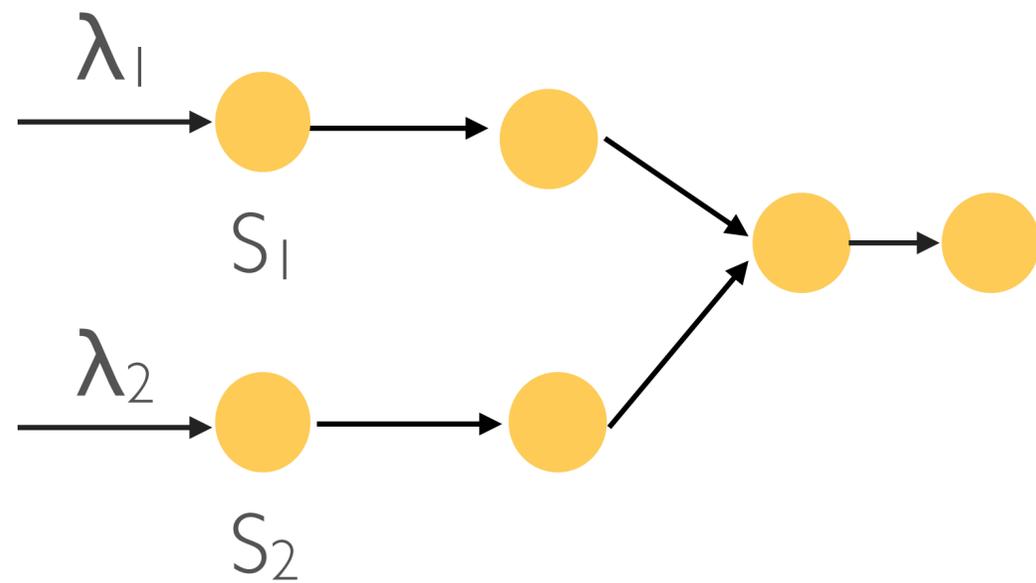


# CONFIGURING PARALLELISM FOR A STREAMING JOB

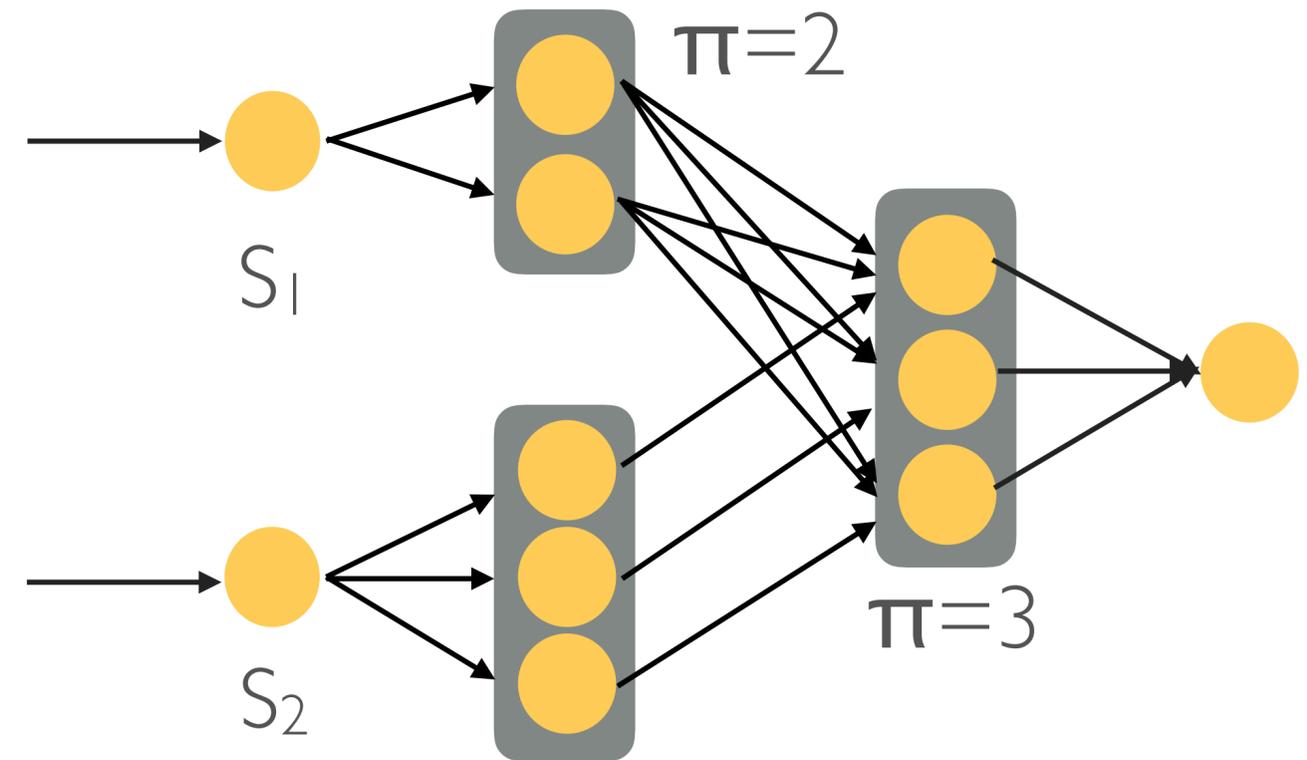


# THE SCALING PROBLEM

logical dataflow

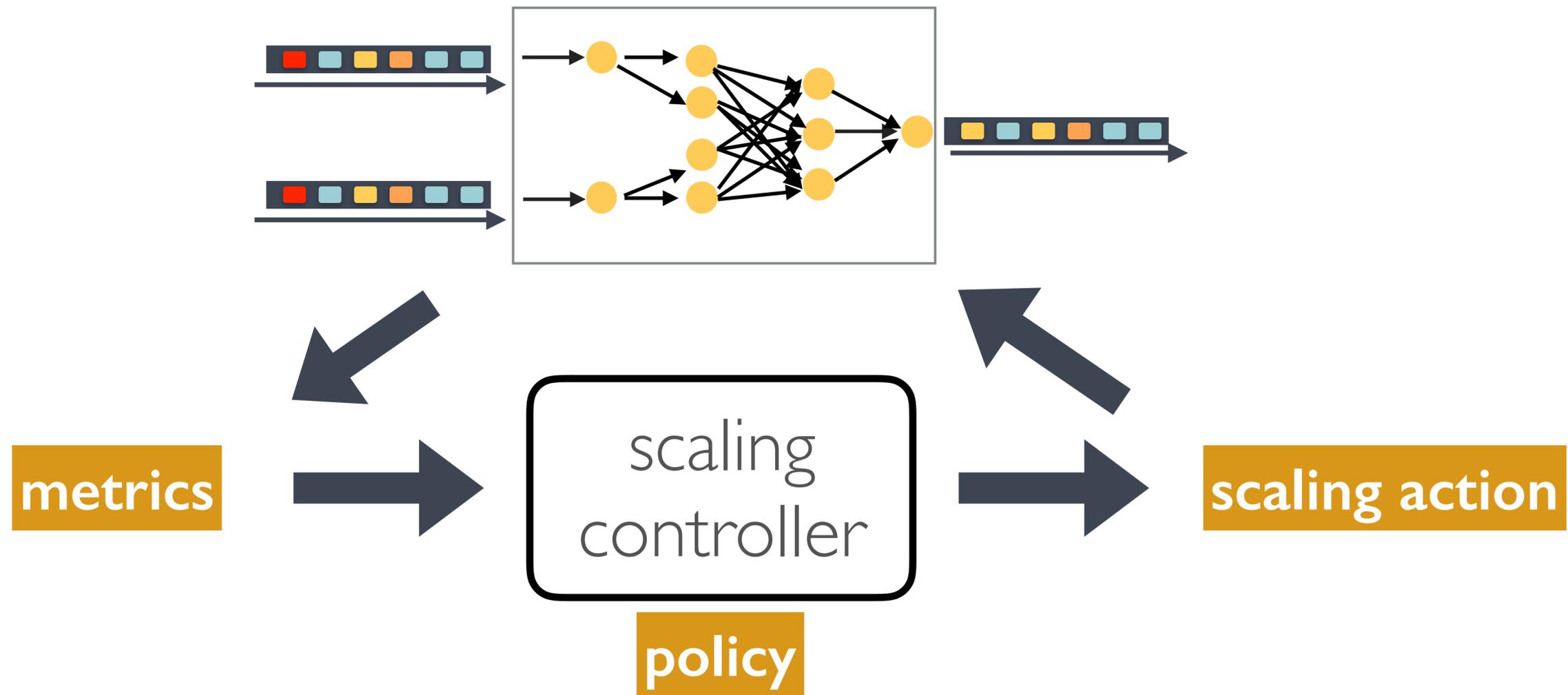


physical dataflow

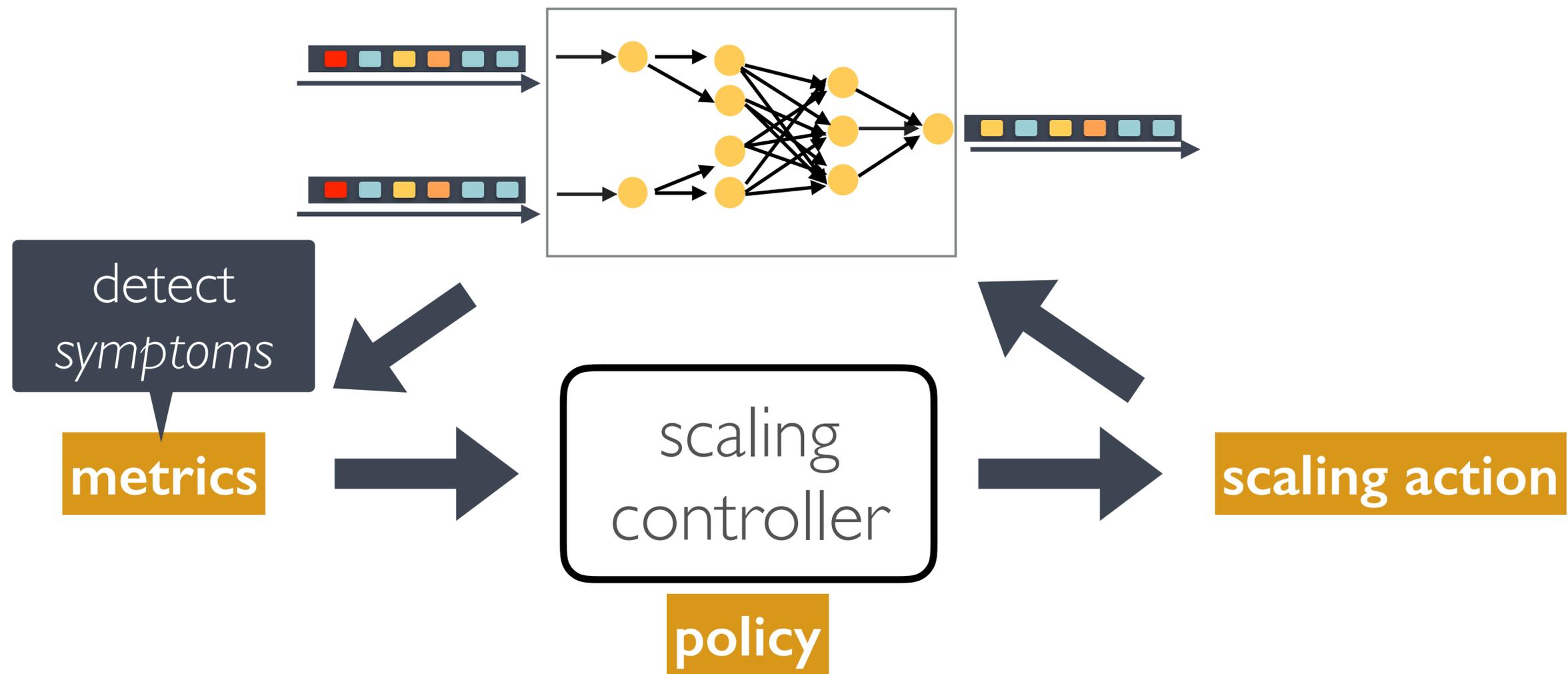


Given a logical dataflow with sources  $S_1, S_2, \dots, S_n$  and rates  $\lambda_1, \lambda_2, \dots, \lambda_n$  identify the minimum parallelism  $\pi_i$  per operator  $i$ , such that the physical dataflow can sustain all source rates.

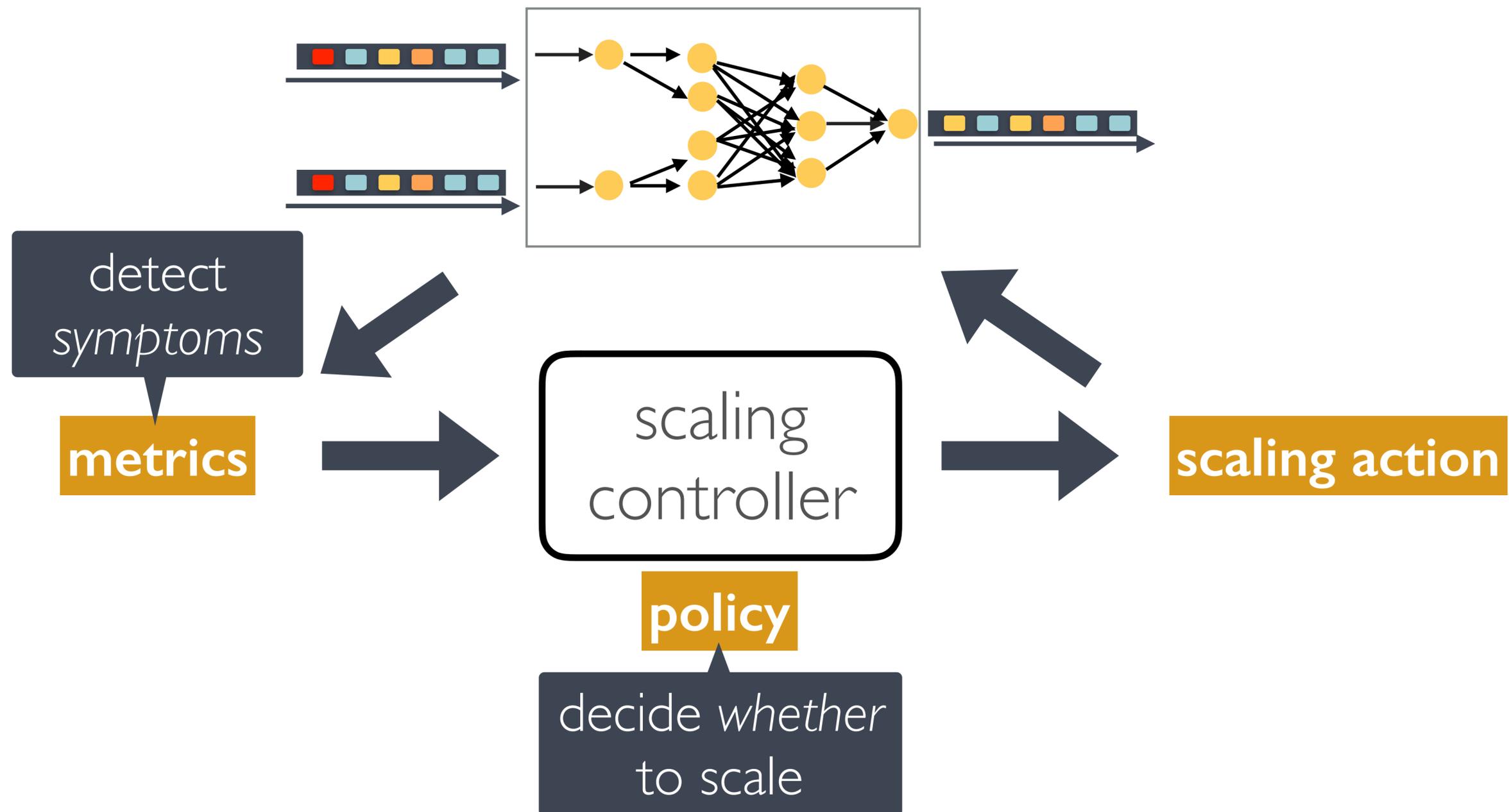
# AUTOMATIC SCALING OVERVIEW



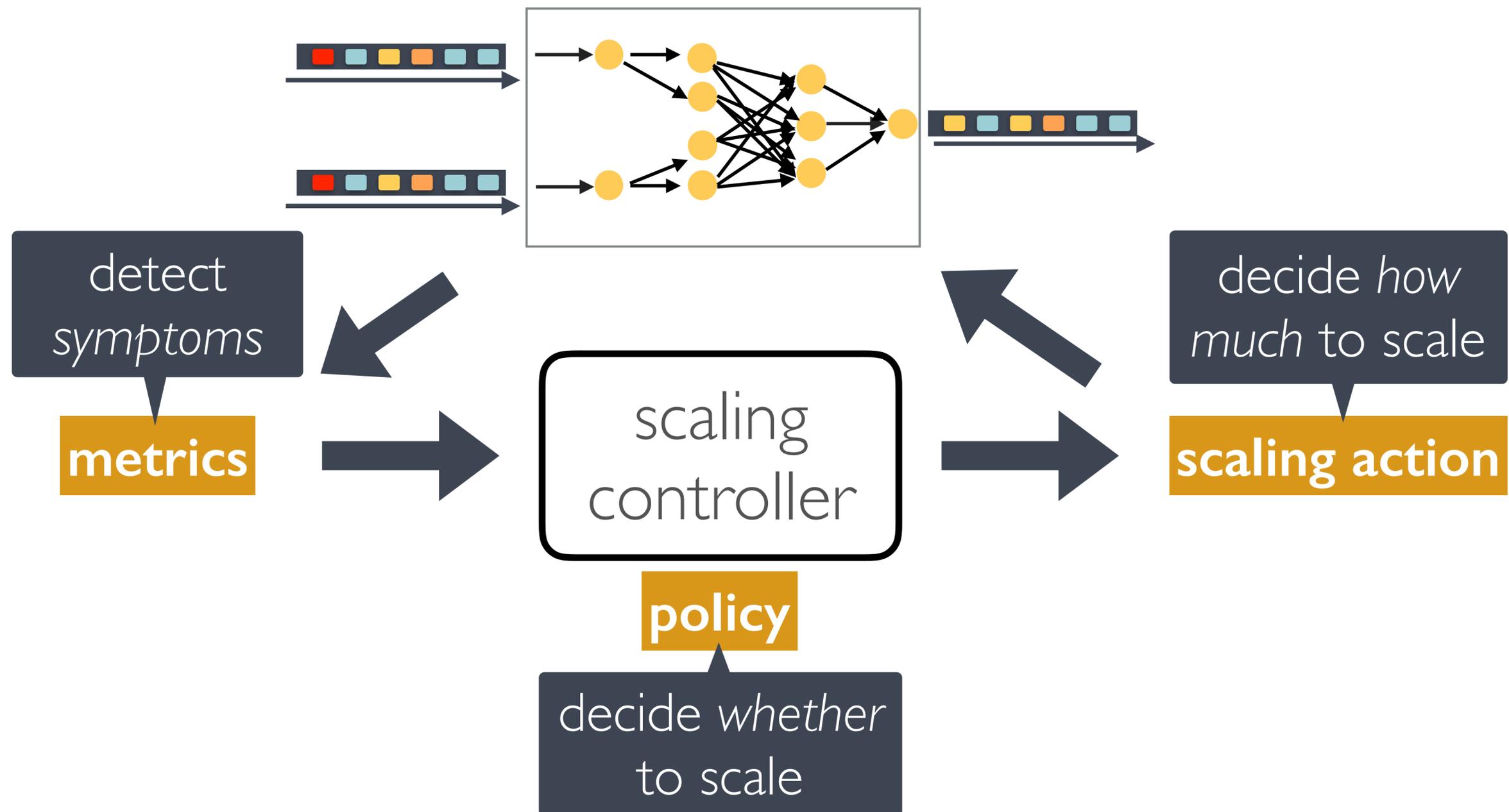
# AUTOMATIC SCALING OVERVIEW



# AUTOMATIC SCALING OVERVIEW



# AUTOMATIC SCALING OVERVIEW



## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

**metrics**

**policy**

**scaling action**

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

**metrics**

CPU utilization  
backlog, tuples/s  
backpressure signal

**policy**

**scaling action**

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

### policy

threshold and rule-based  
*if CPU > 80% => scale*

### scaling action

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

### policy

threshold and rule-based  
*if CPU > 80% => scale*

### scaling action

small changes,  
one operator at a time

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

problematic due  
to interference,  
multitenancy

### policy

threshold and rule-based  
*if CPU > 80% => scale*

### scaling action

small changes,  
one operator at a time

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

problematic due  
to interference,  
multitenancy

### policy

threshold and rule-based  
*if CPU > 80% => scale*

sensitive to  
noise, manual,  
hard to tune

### scaling action

small changes,  
one operator at a time

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

problematic due  
to interference,  
multitenancy

### policy

threshold and rule-based  
*if CPU > 80% => scale*

sensitive to  
noise, manual,  
hard to tune

### scaling action

small changes,  
one operator at a time

non-predictive,  
speculative steps

## Existing approaches

- Borealis
- StreamCloud
- Seep
- IBM Streams
- Spark Streaming
- Google Dataflow
- Dhalion
- ...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

problematic due  
to interference,  
multitenancy

**X** oscillations

### policy

threshold and rule-based  
*if CPU > 80% => scale*

sensitive to  
noise, manual,  
hard to tune

### scaling action

small changes,  
one operator at a time

non-predictive,  
speculative steps

## Existing approaches

- Borealis
- StreamCloud
- Seep
- IBM Streams
- Spark Streaming
- Google Dataflow
- Dhalion
- ...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

problematic due  
to interference,  
multitenancy

**X** oscillations

### policy

threshold and rule-based  
*if CPU > 80% => scale*

sensitive to  
noise, manual,  
hard to tune

**X** temporary over-  
and under-  
provisioning

### scaling action

small changes,  
one operator at a time

non-predictive,  
speculative steps

## Existing approaches

**Borealis**  
**StreamCloud**  
**Seep**  
**IBM Streams**  
**Spark Streaming**  
**Google Dataflow**  
**Dhalion**  
...

### metrics

CPU utilization  
backlog, tuples/s  
backpressure signal

problematic due  
to interference,  
multitenancy

**X** oscillations

### policy

threshold and rule-based  
*if CPU > 80% => scale*

sensitive to  
noise, manual,  
hard to tune

**X** temporary over-  
and under-  
provisioning

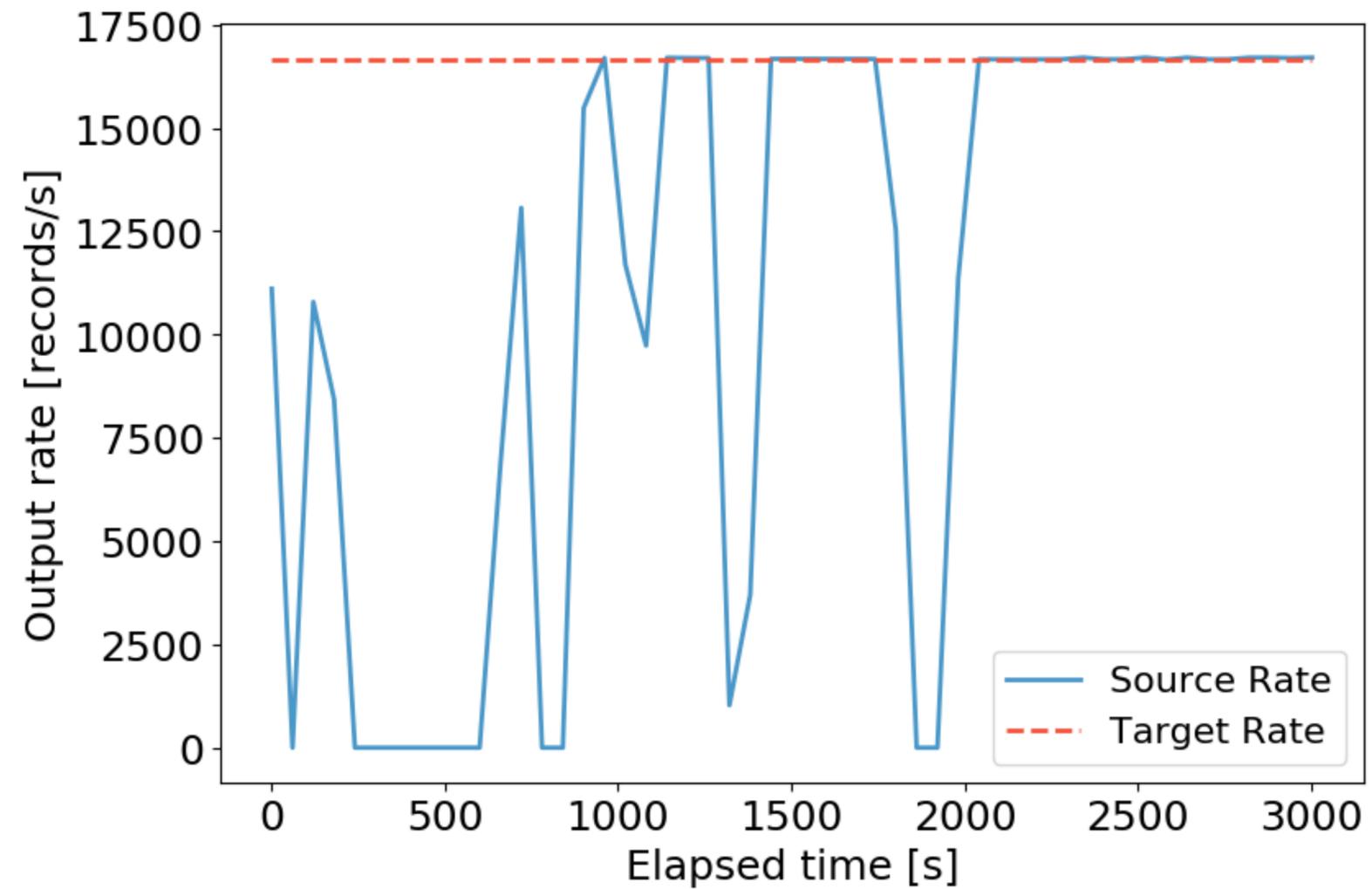
### scaling action

small changes,  
one operator at a time

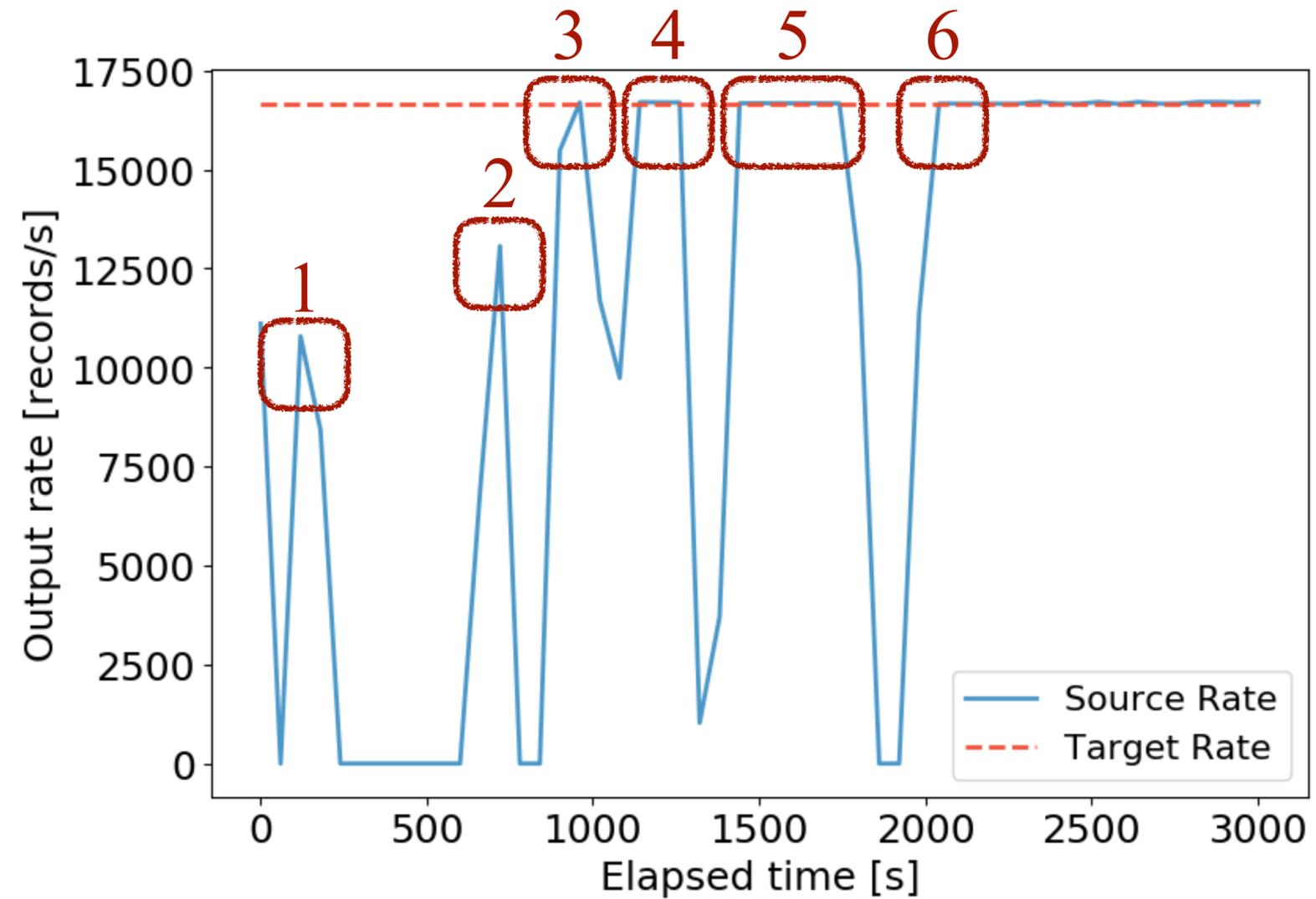
non-predictive,  
speculative steps

**X** slow  
convergence

# *effect of Dhalion's scaling actions in an initially under-provisioned wordcount dataflow*



# *effect of Dhalion's scaling actions in an initially under-provisioned wordcount dataflow*



**metrics**

externally  
observed

**policy**

threshold-based

**scaling action**

non-predictive,  
single-operator

# OUR APPROACH: DS2

**metrics**

~~externally  
observed~~

**policy**

~~threshold-based~~

**scaling action**

~~non-predictive,  
single-operator~~

*true rates through  
instrumentation*

*dataflow  
dependency model*

*predictive,  
dataflow-wide  
actions*

# OUR APPROACH: DS2

## metrics

~~externally  
observed~~

## policy

~~threshold-based~~

## scaling action

~~non-predictive,  
single-operator~~

*true* rates through  
instrumentation

dataflow  
dependency model

*predictive*,  
dataflow-wide  
actions



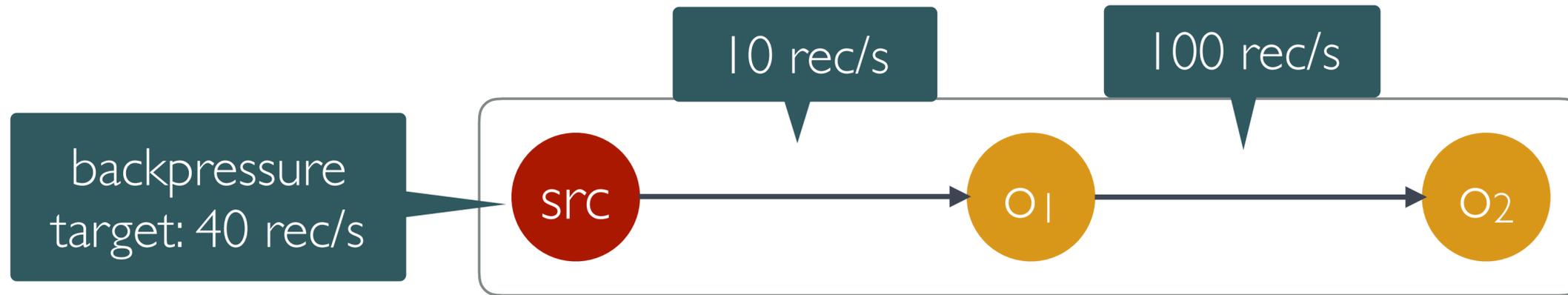
**no oscillations**

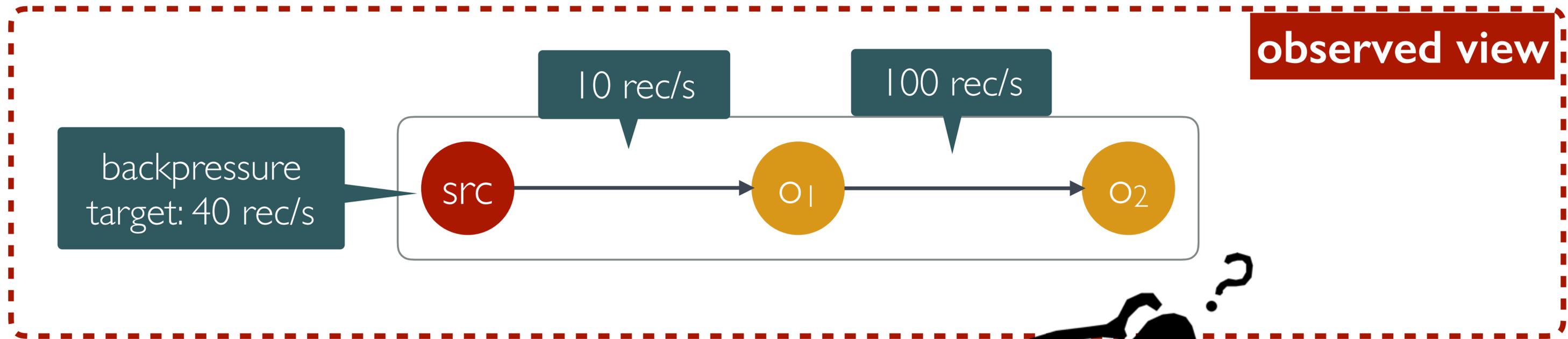


**true rates as  
bounds to avoid  
over/under-shoot**



**fast convergence**



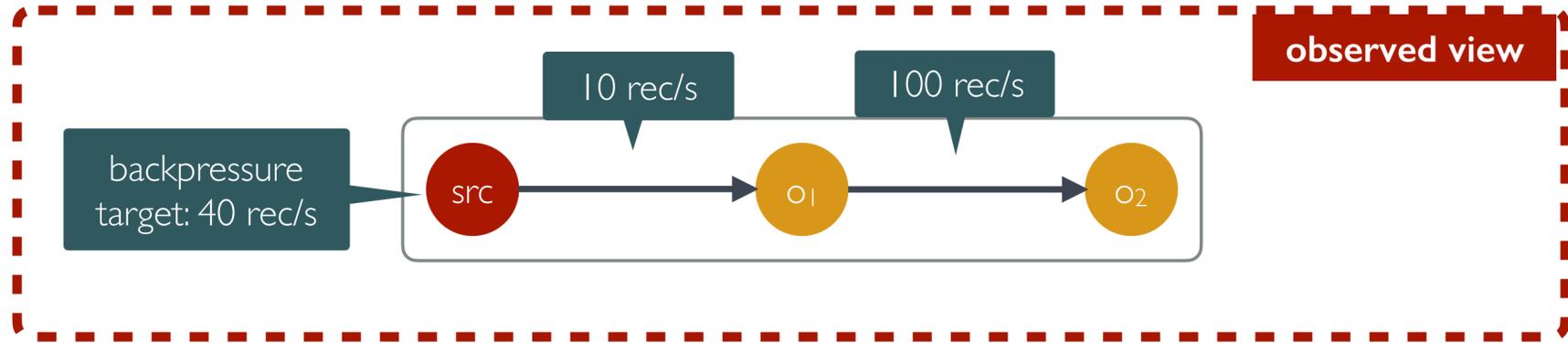


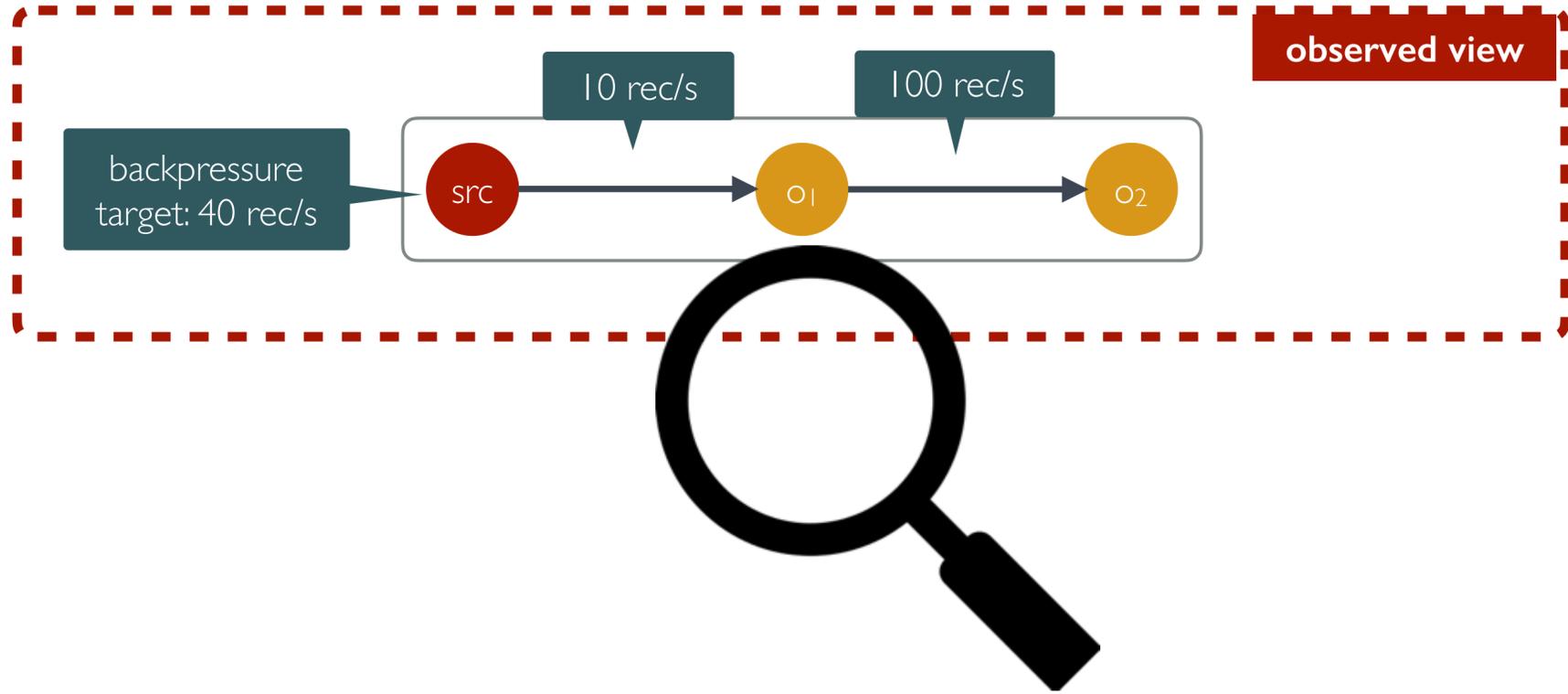
**Which operator is the bottleneck?**

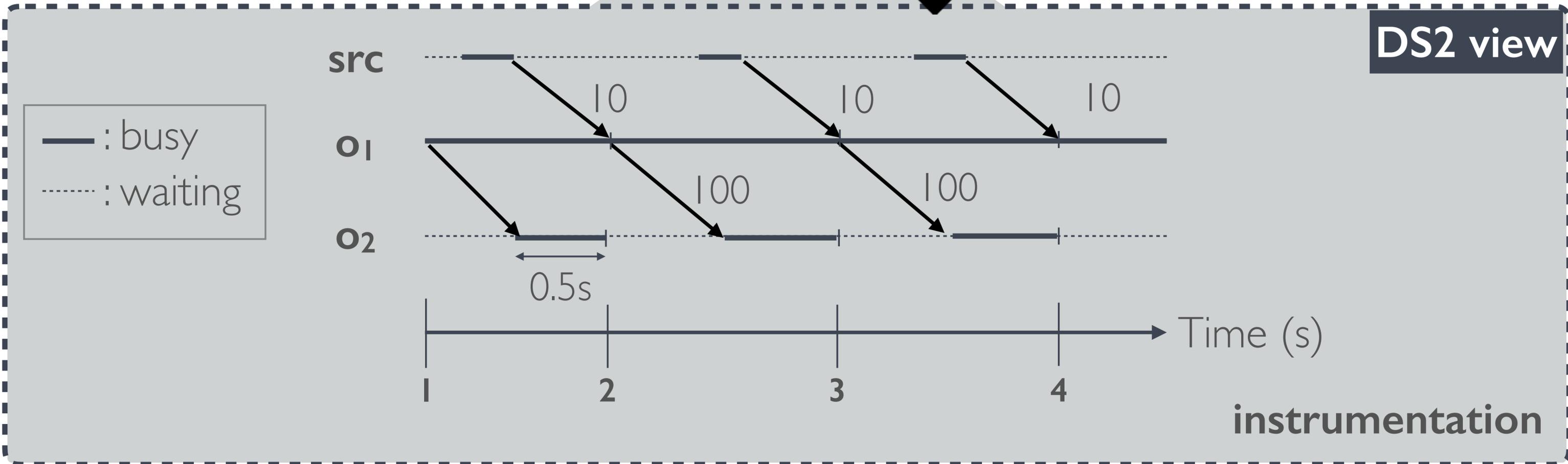
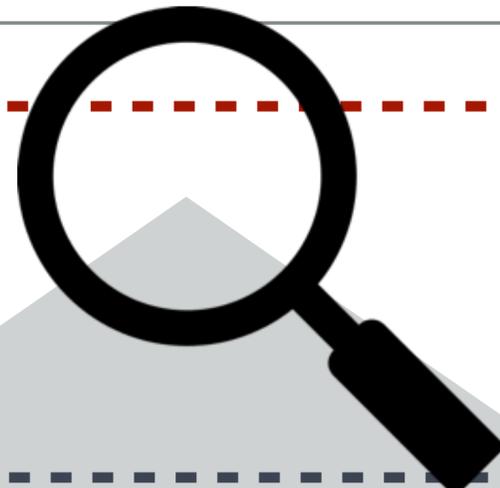
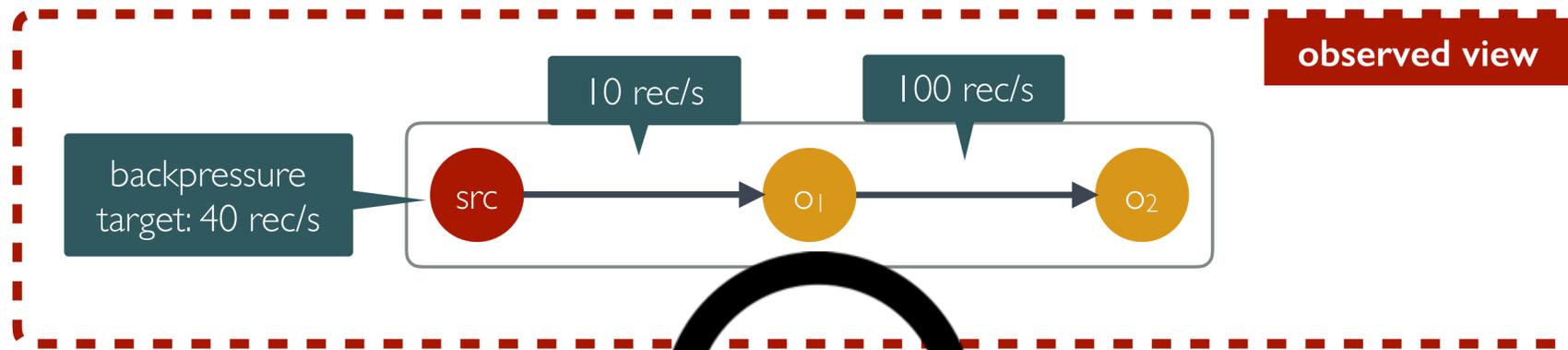
**What if we scale O<sub>1</sub> x 4?**

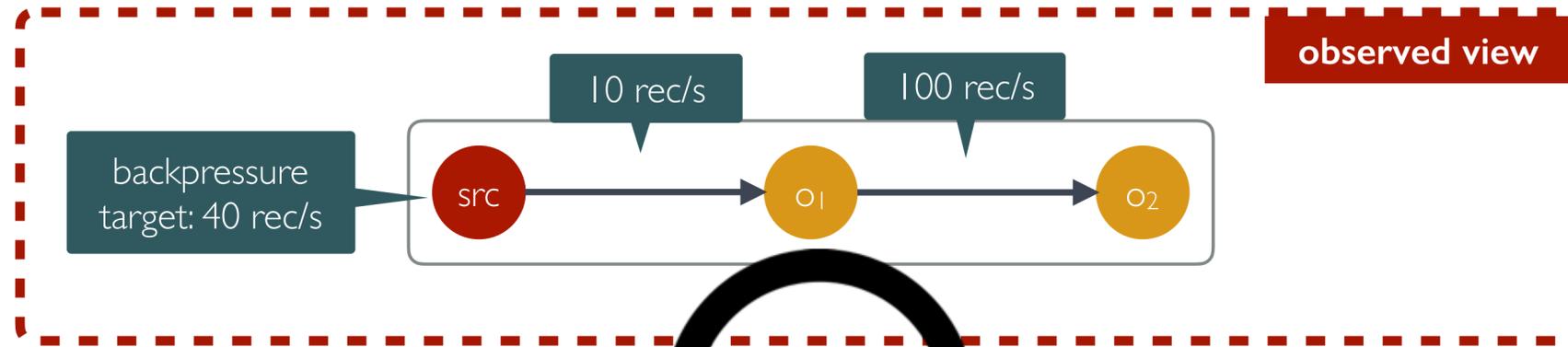
**How much to scale O<sub>2</sub>?**



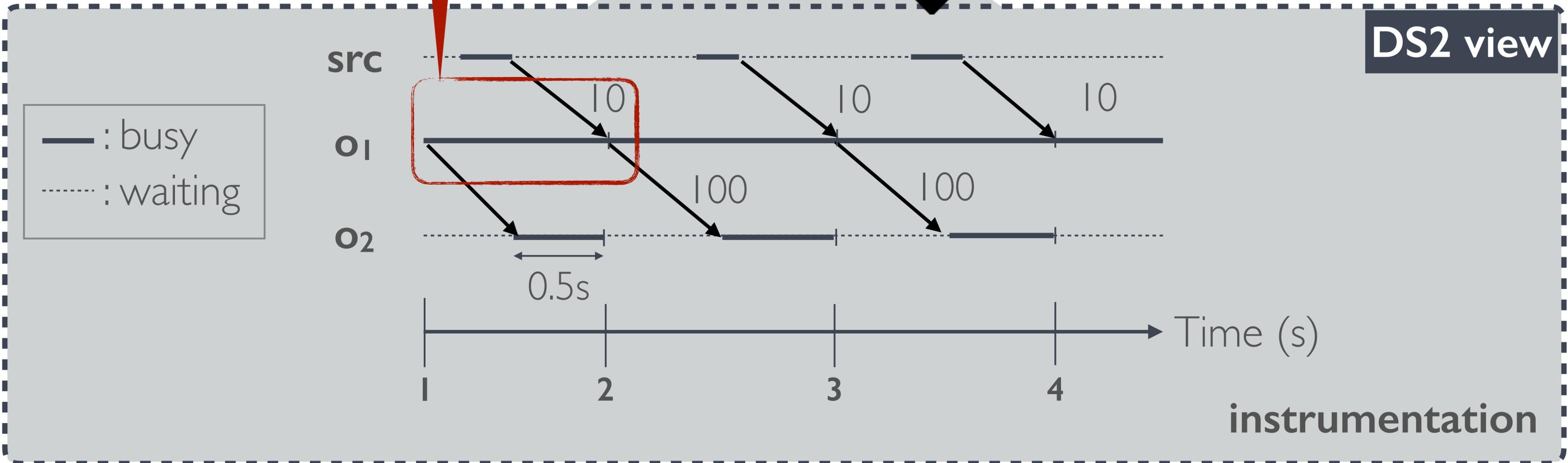


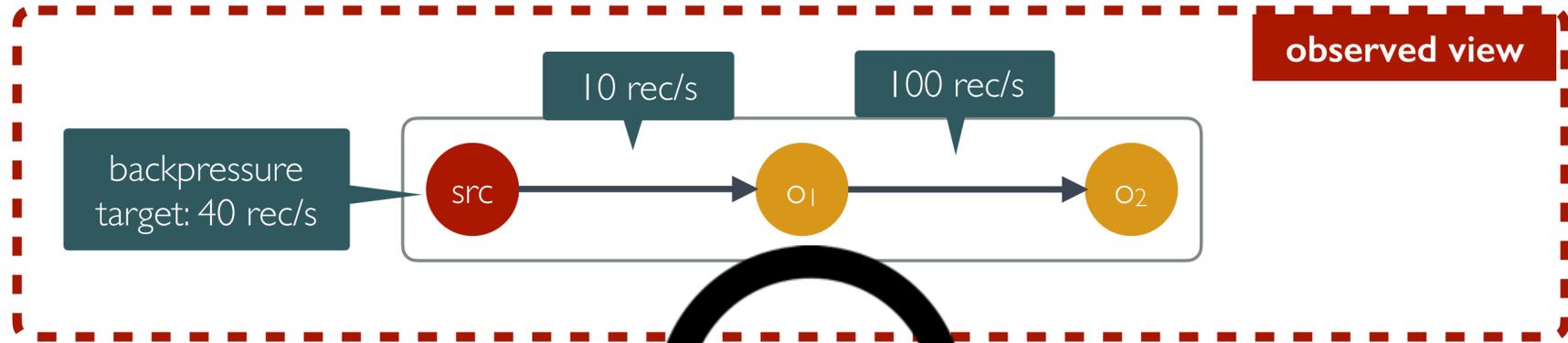






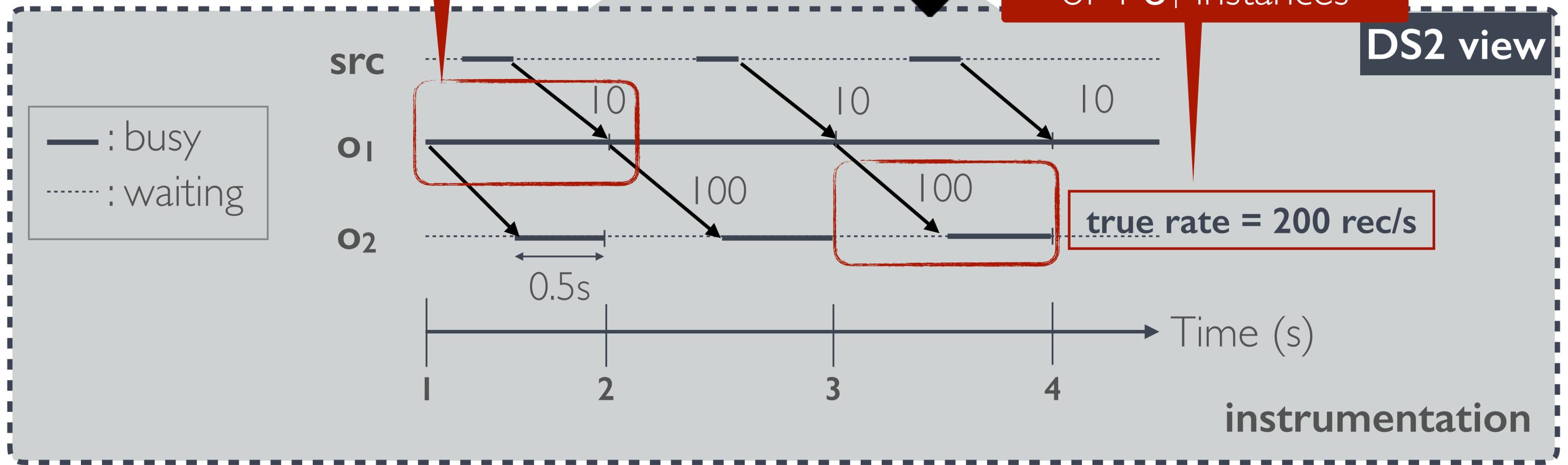
**o<sub>1</sub> is the bottleneck**





**o<sub>1</sub> is the bottleneck**

**2 o<sub>2</sub> instances can keep up with the rate of 4 o<sub>1</sub> instances**



# THE DS2 MODEL

# THE DS2 MODEL

Useful time: The time spent by an operator instance in **deserialization**, **processing**, and **serialization** activities.

# THE DS2 MODEL

Useful time: The time spent by an operator instance in **deserialization, processing**, and **serialization** activities.

True processing (resp. output) rate: The number of **records** an operator instance can process (resp. output) **per unit of useful time**.

# THE DS2 MODEL

Useful time: The time spent by an operator instance in **deserialization, processing**, and **serialization** activities.

True processing (resp. output) rate: The number of **records** an operator instance can process (resp. output) **per unit of useful time**.

**Optimal**

**parallelism for  $o_i$**  :

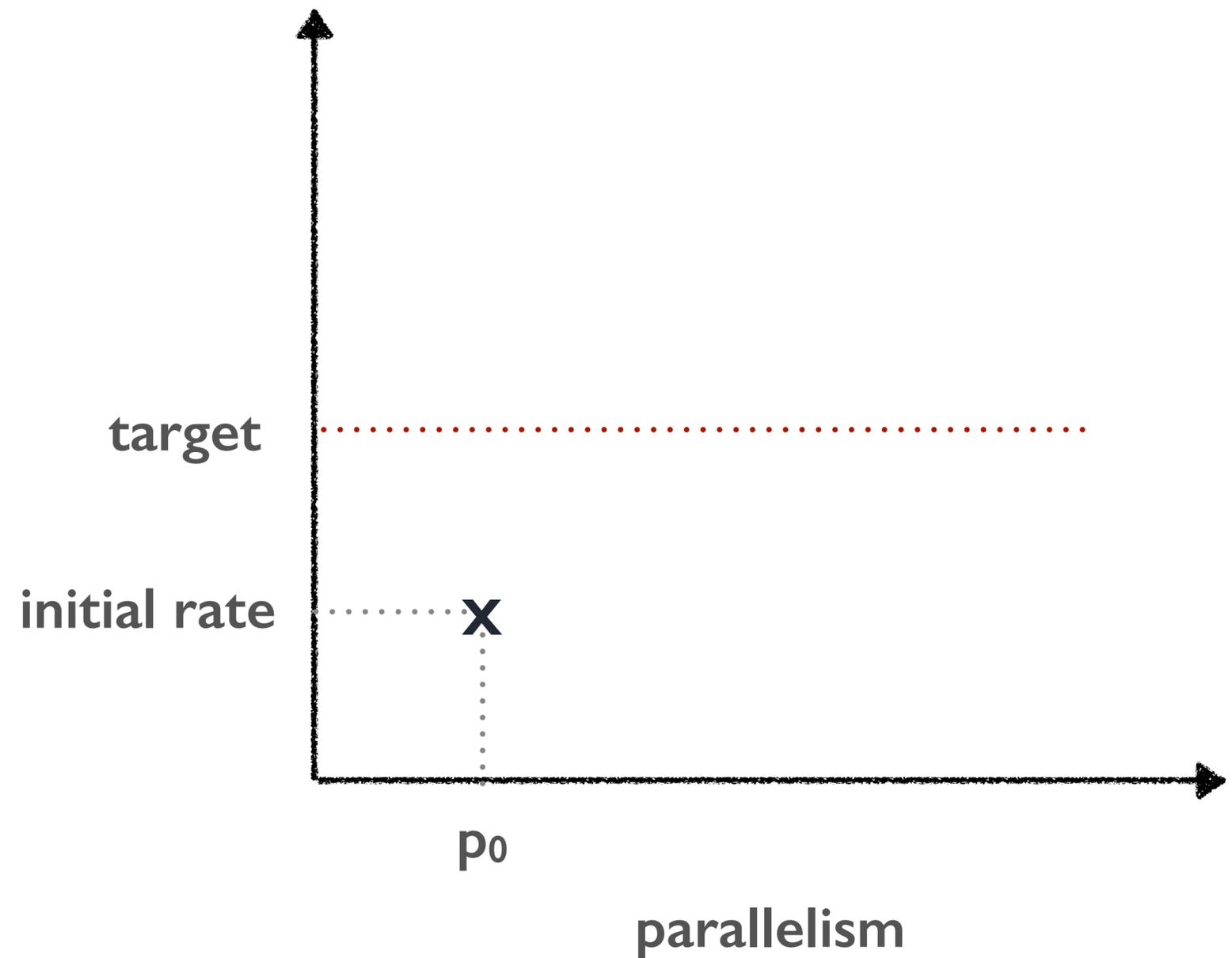
aggregated true output rate of upstream ops

average true processing rate of  $o_i$

# CONVERGENCE STEPS

if the actual scaling is linear,  
convergence takes **one** step

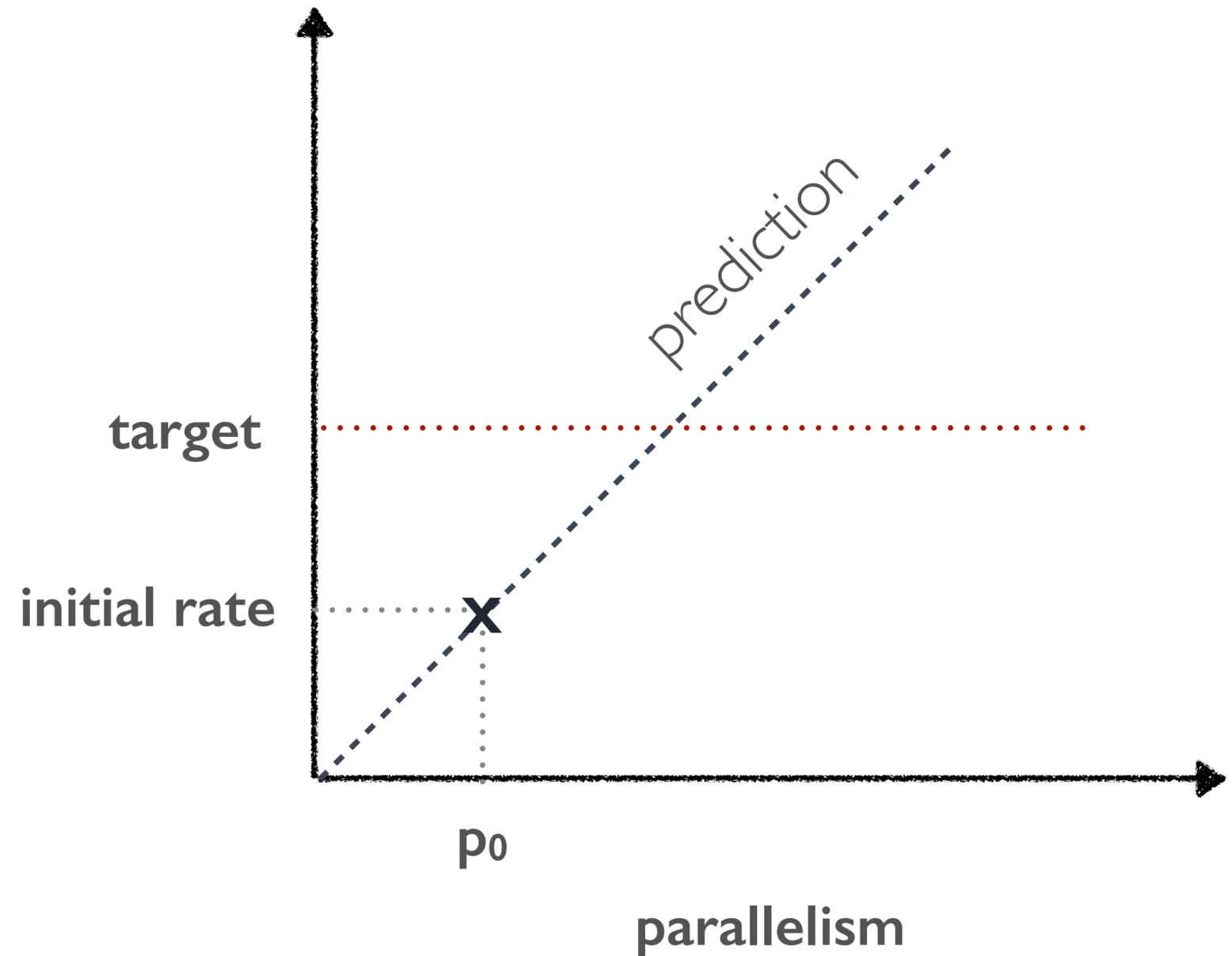
- **no overshoot** when scaling up:  
ideal rate is an *upper* bound
- **no undershoot** when scaling  
down: ideal rate is a *lower* bound



# CONVERGENCE STEPS

if the actual scaling is linear,  
convergence takes **one** step

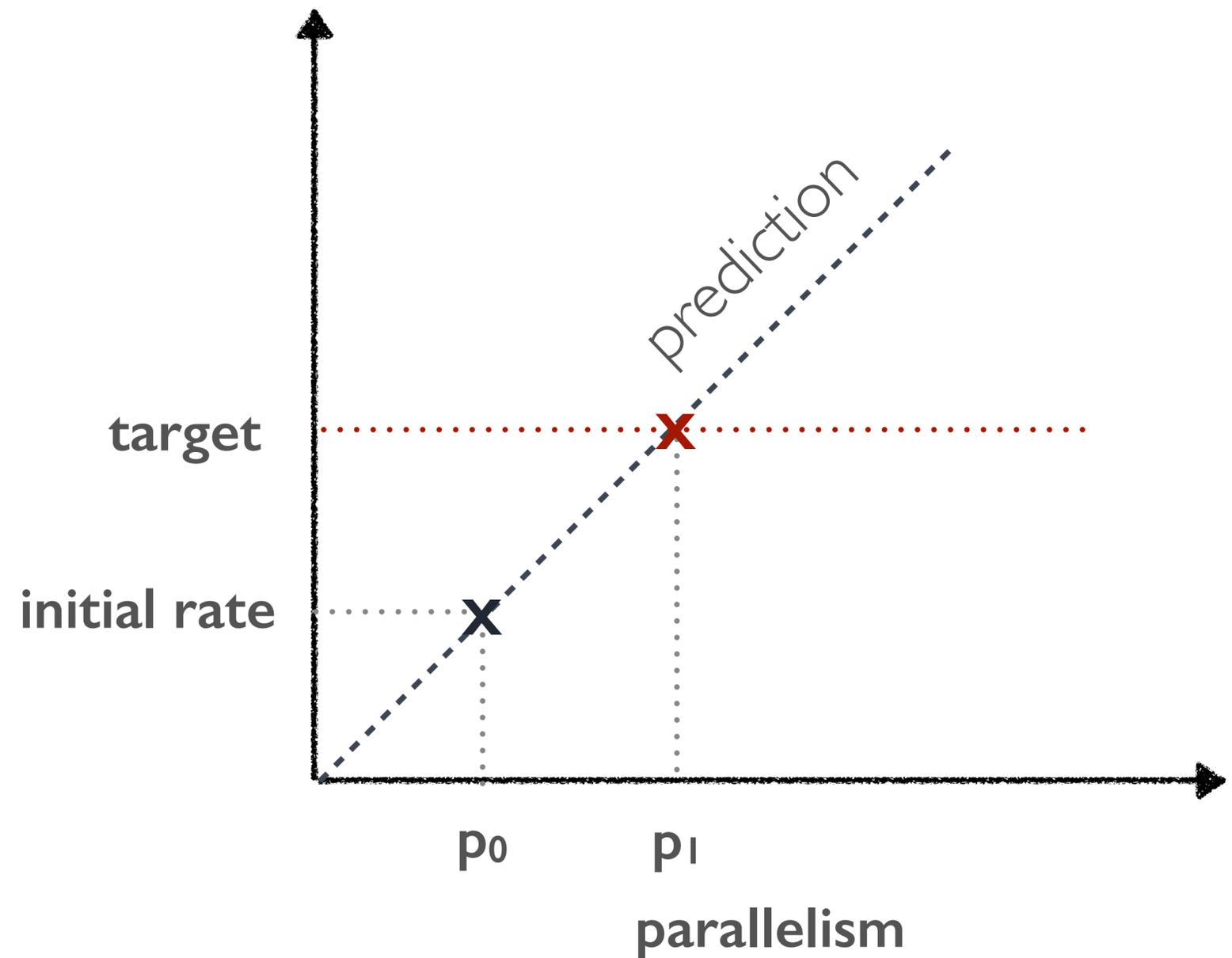
- **no overshoot** when scaling up:  
ideal rate is an *upper* bound
- **no undershoot** when scaling  
down: ideal rate is a *lower* bound



# CONVERGENCE STEPS

if the actual scaling is linear,  
convergence takes **one** step

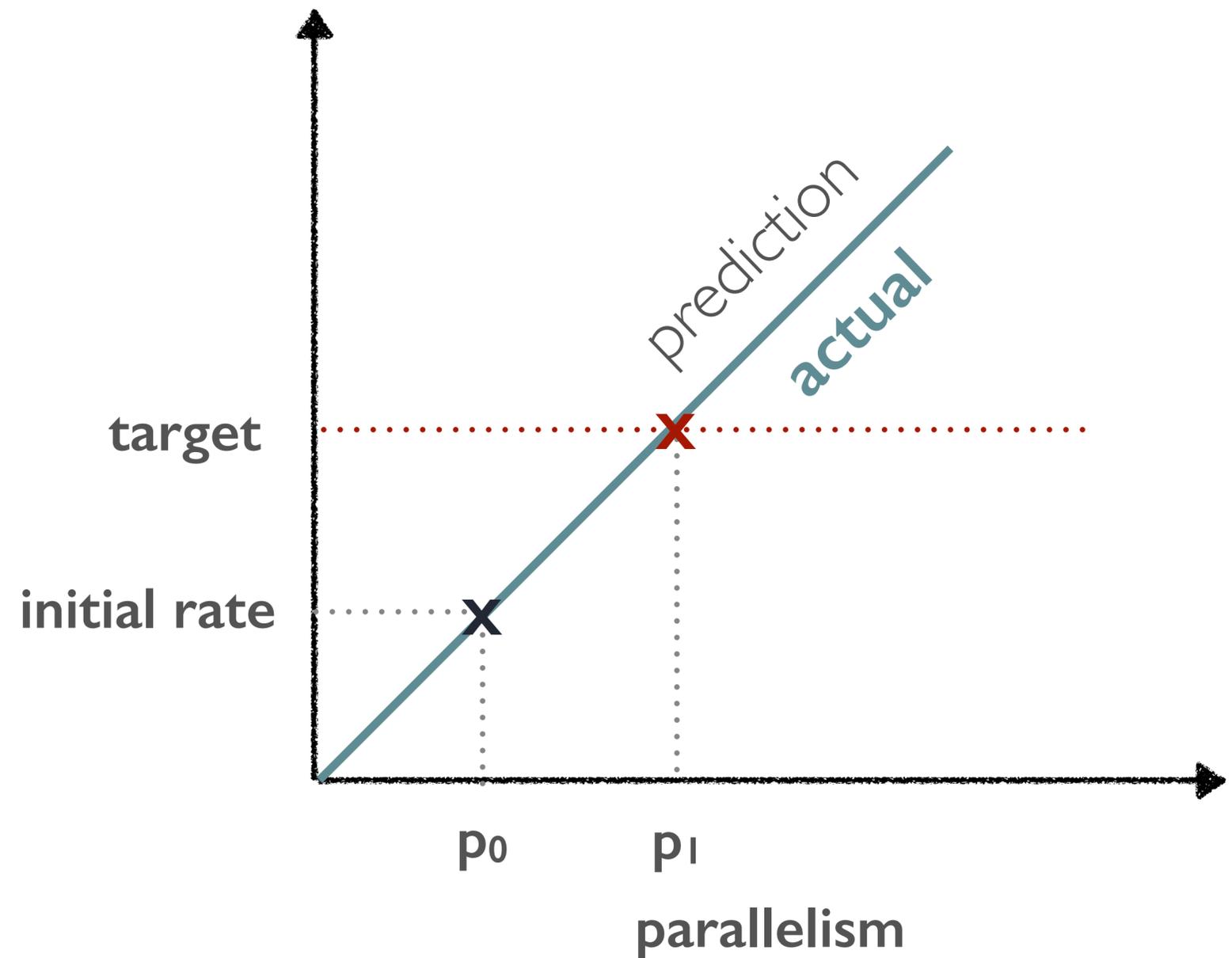
- **no overshoot** when scaling up:  
ideal rate is an *upper* bound
- **no undershoot** when scaling  
down: ideal rate is a *lower* bound



# CONVERGENCE STEPS

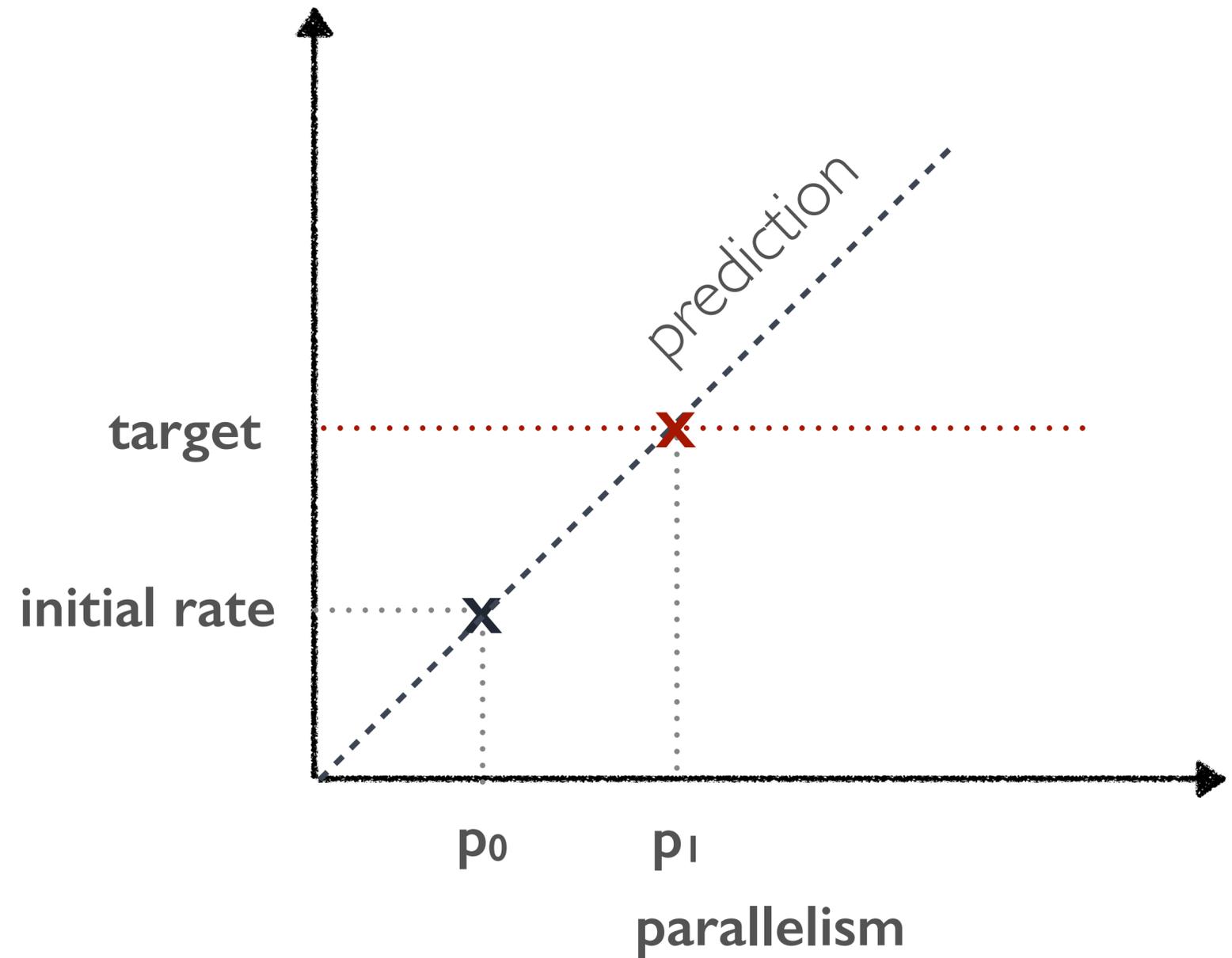
if the actual scaling is linear,  
convergence takes **one** step

- **no overshoot** when scaling up:  
ideal rate is an *upper* bound
- **no undershoot** when scaling  
down: ideal rate is a *lower* bound



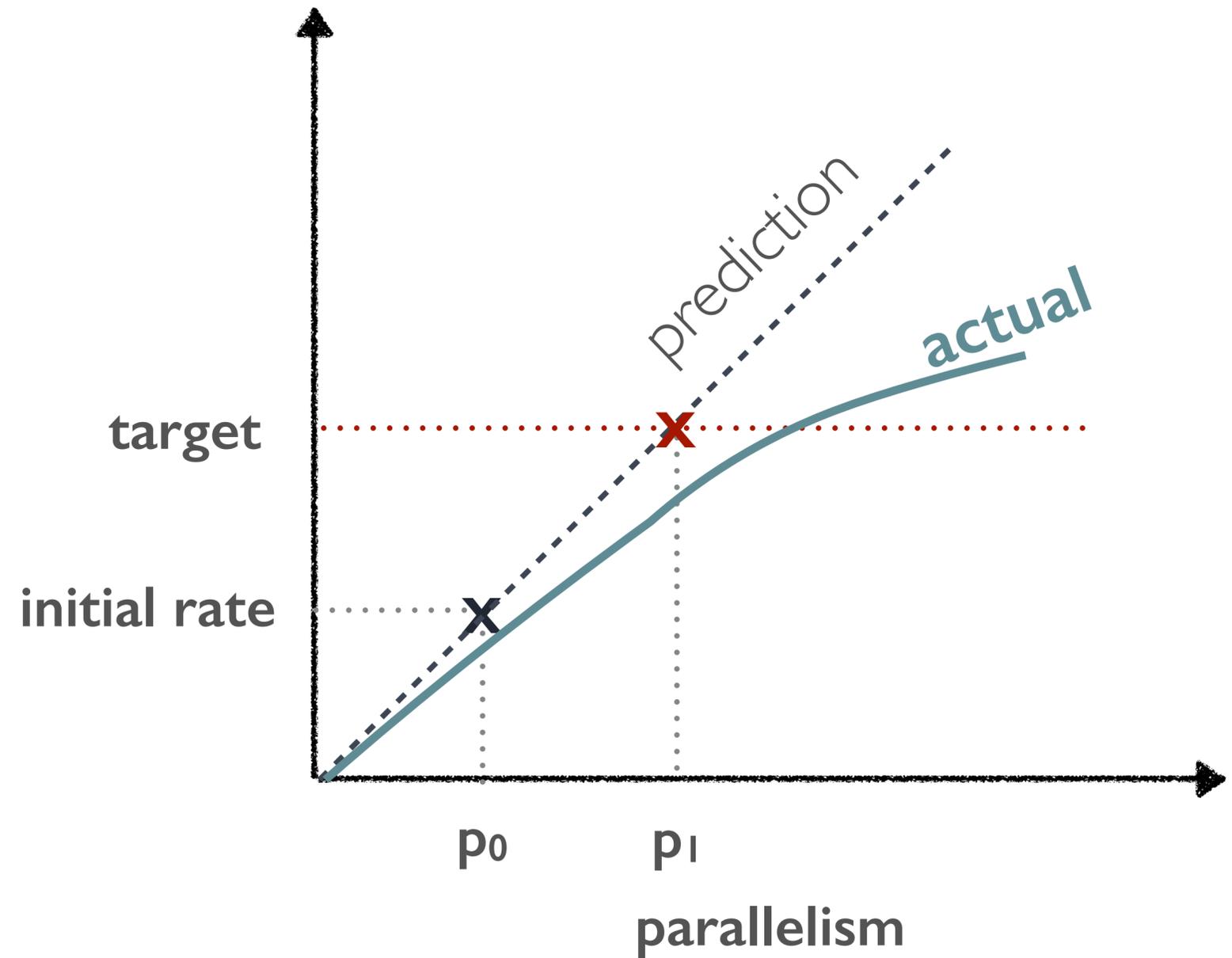
# CONVERGENCE STEPS

In practice, rates are commonly **sub-linear** due to other overheads (e.g. worker coordination).



# CONVERGENCE STEPS

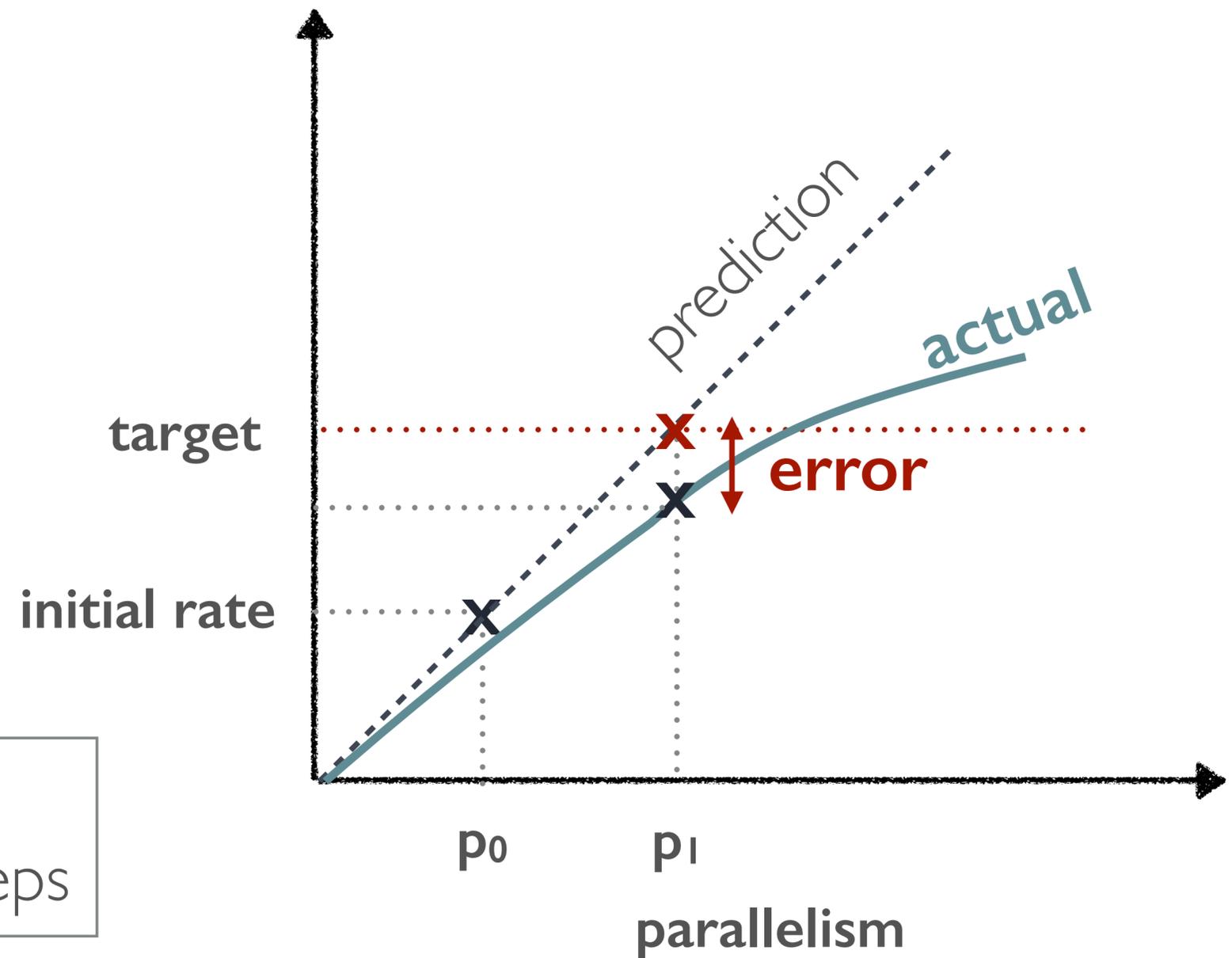
In practice, rates are commonly **sub-linear** due to other overheads (e.g. worker coordination).



# CONVERGENCE STEPS

In practice, rates are commonly **sub-linear** due to other overheads (e.g. worker coordination).

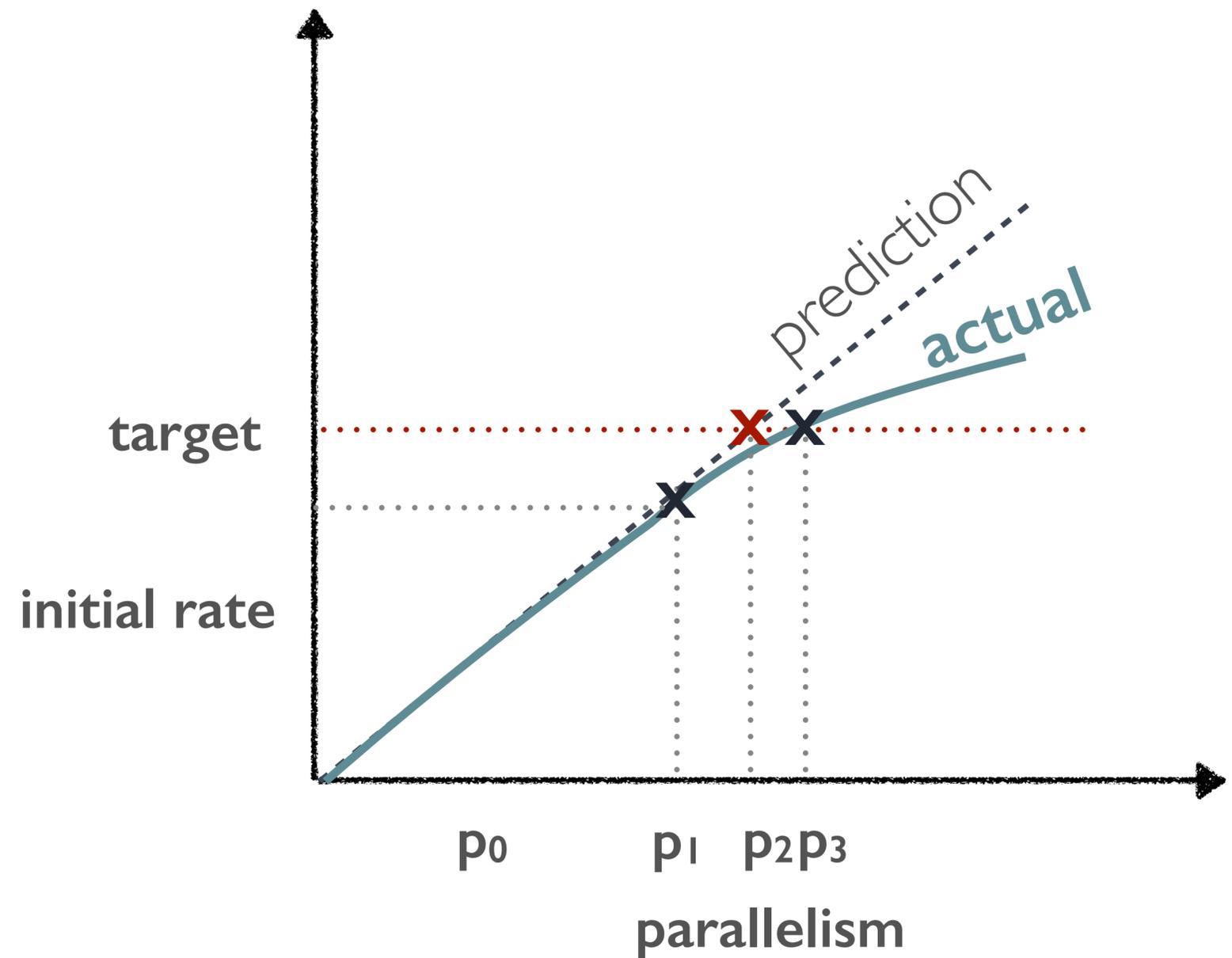
when the actual scaling is sub-linear, convergence takes **more than one** steps



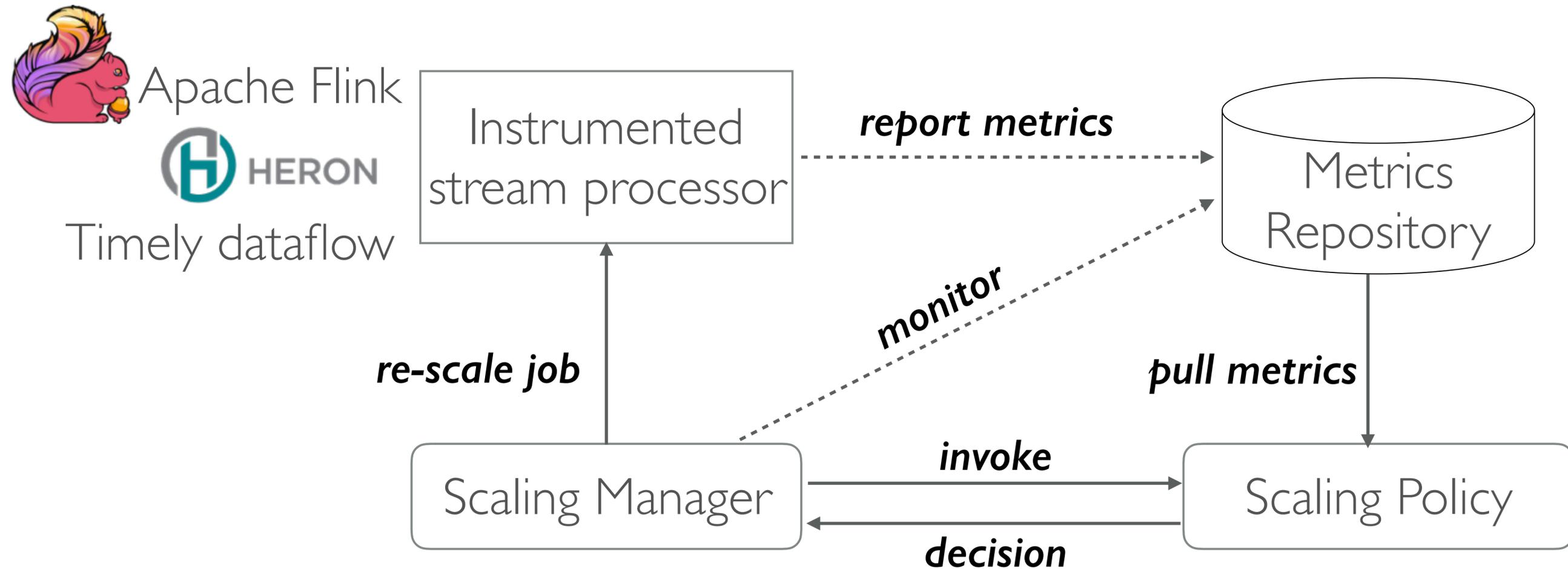
# CONVERGENCE STEPS

In practice, rates are commonly **sub-linear** due to other overheads (e.g. worker coordination).

In our experiments, DS2 took **up to three steps** to converge for complex queries.

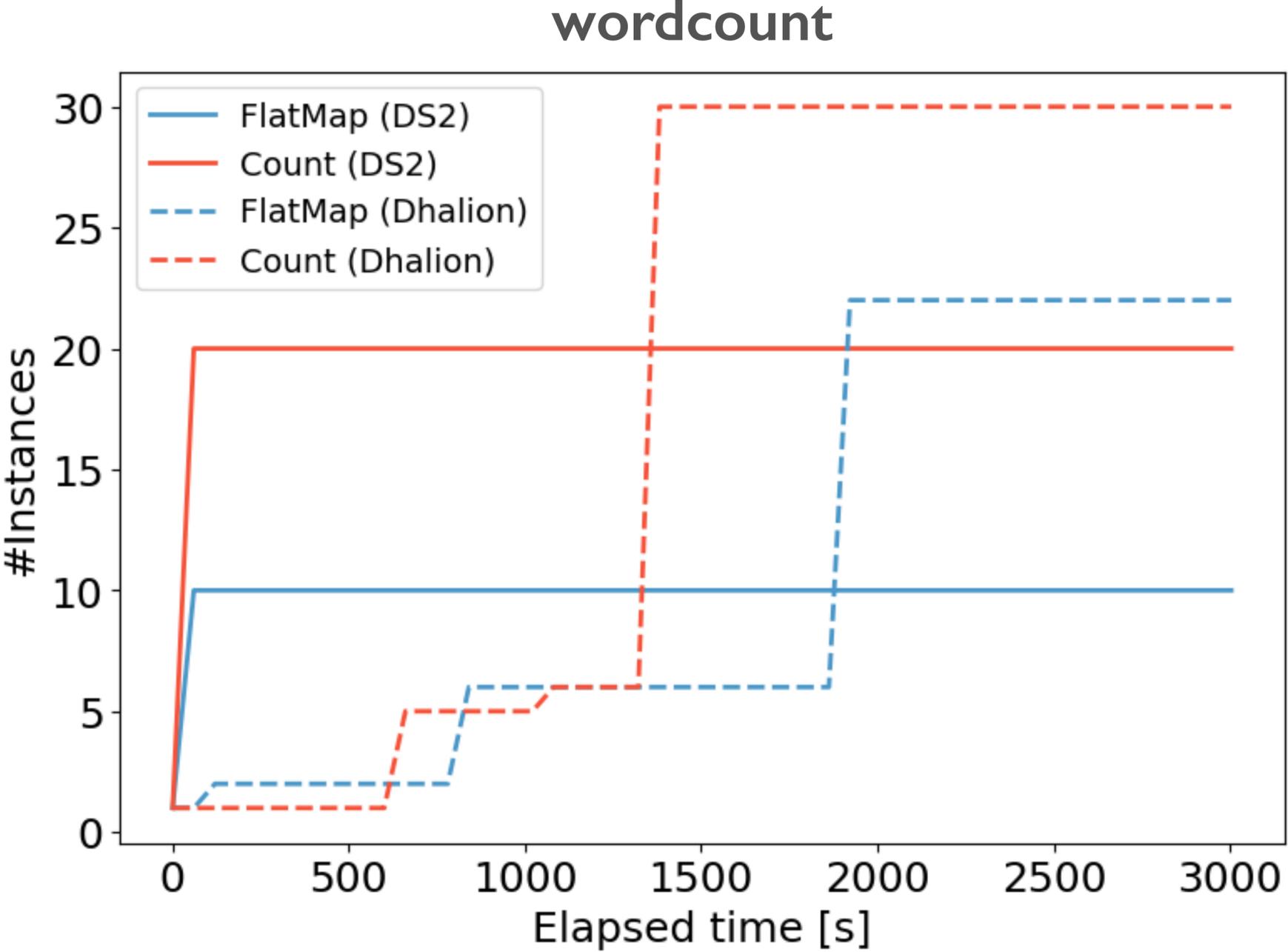


# ↻ DS2 operates *online* in a *reactive* setting



EVALUATION

# DS2 VS. DHALION ON HERON

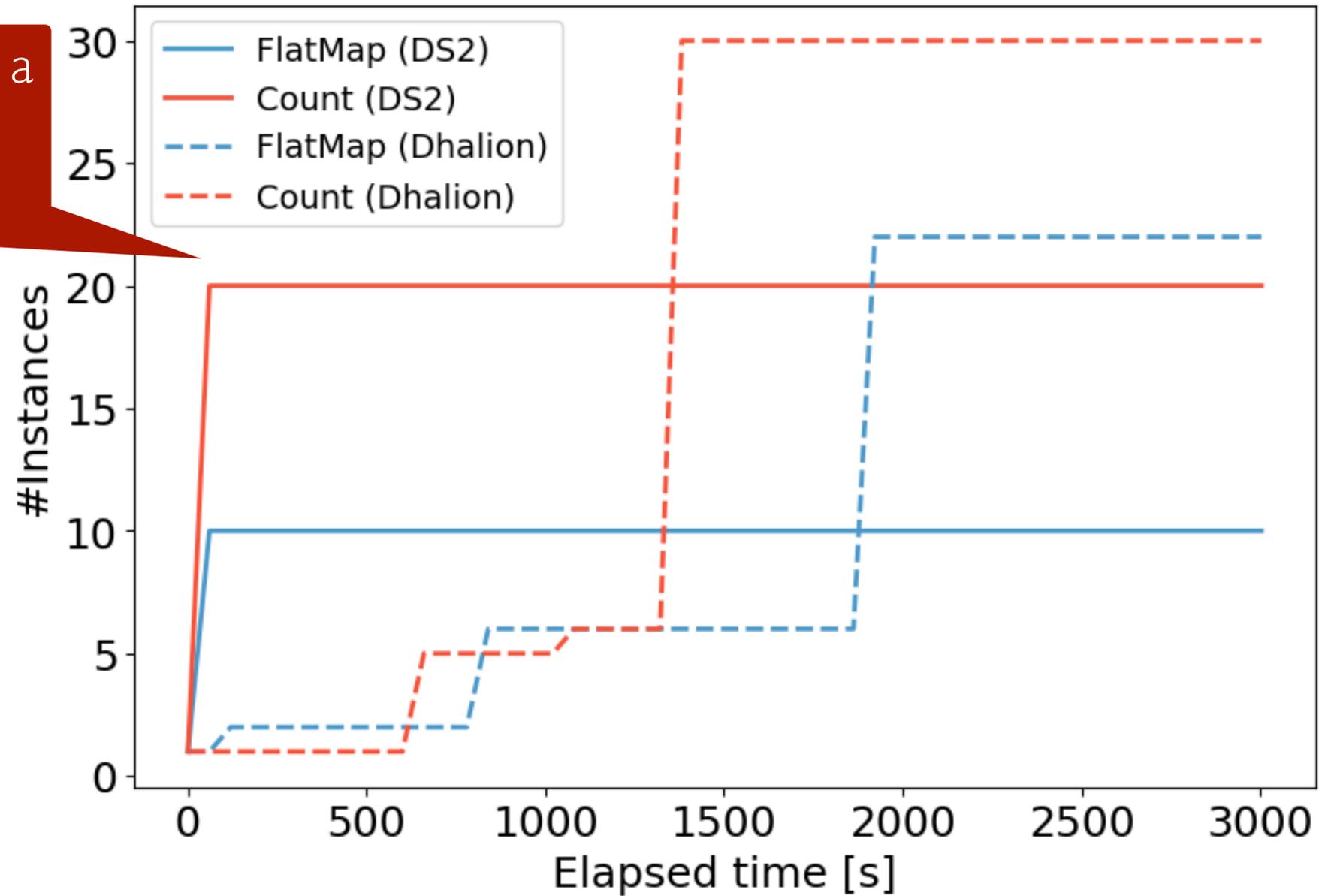


Target rate: 16.700 rec/s

# DS2 VS. DHALION ON HERON

## wordcount

DS2 converges in a **single step** for both operators



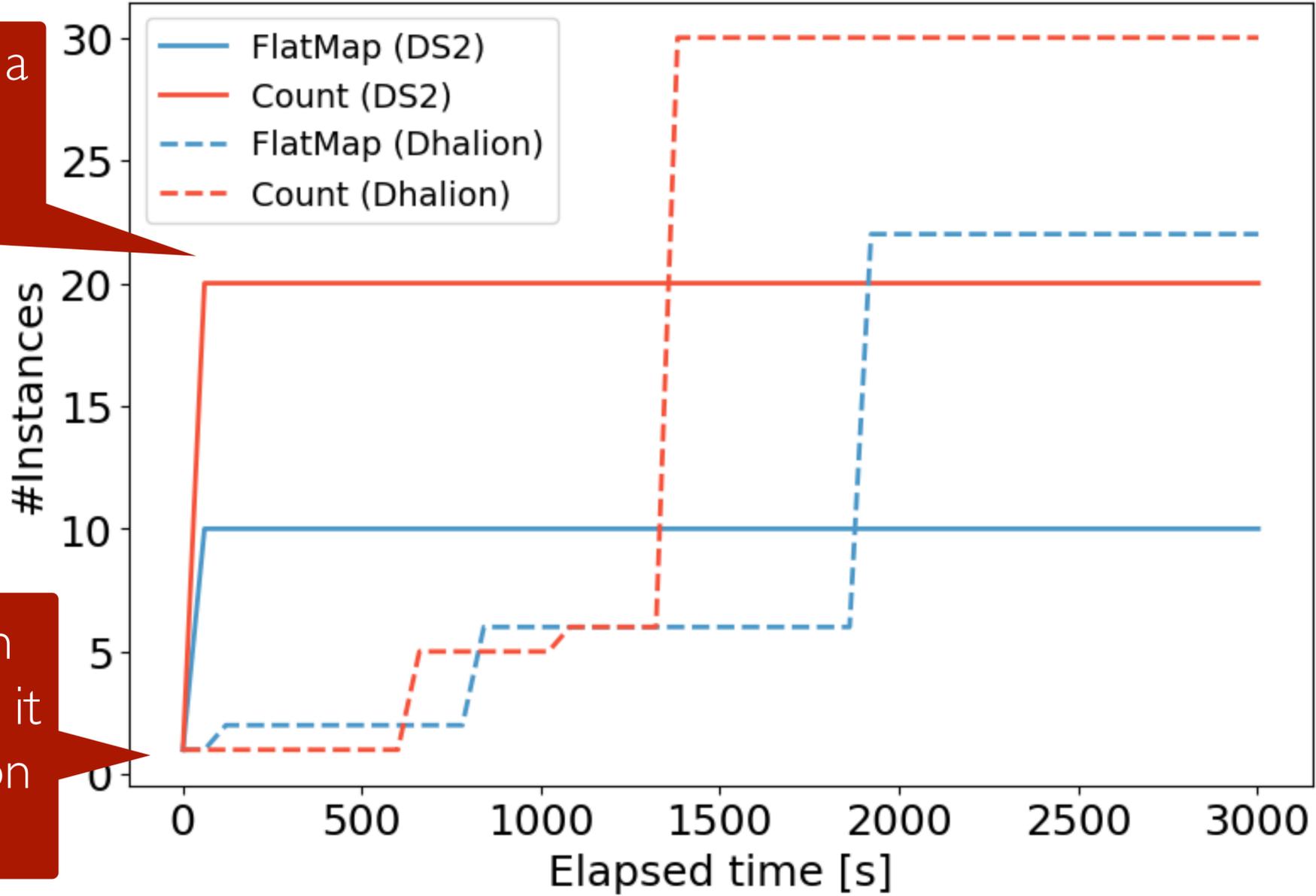
Target rate: 16.700 rec/s

# DS2 VS. DHALION ON HERON

wordcount

DS2 converges in a **single step** for both operators

DS2 converges in **60s**, i.e. as soon as it receives the Heron metrics



Target rate: 16.700 rec/s

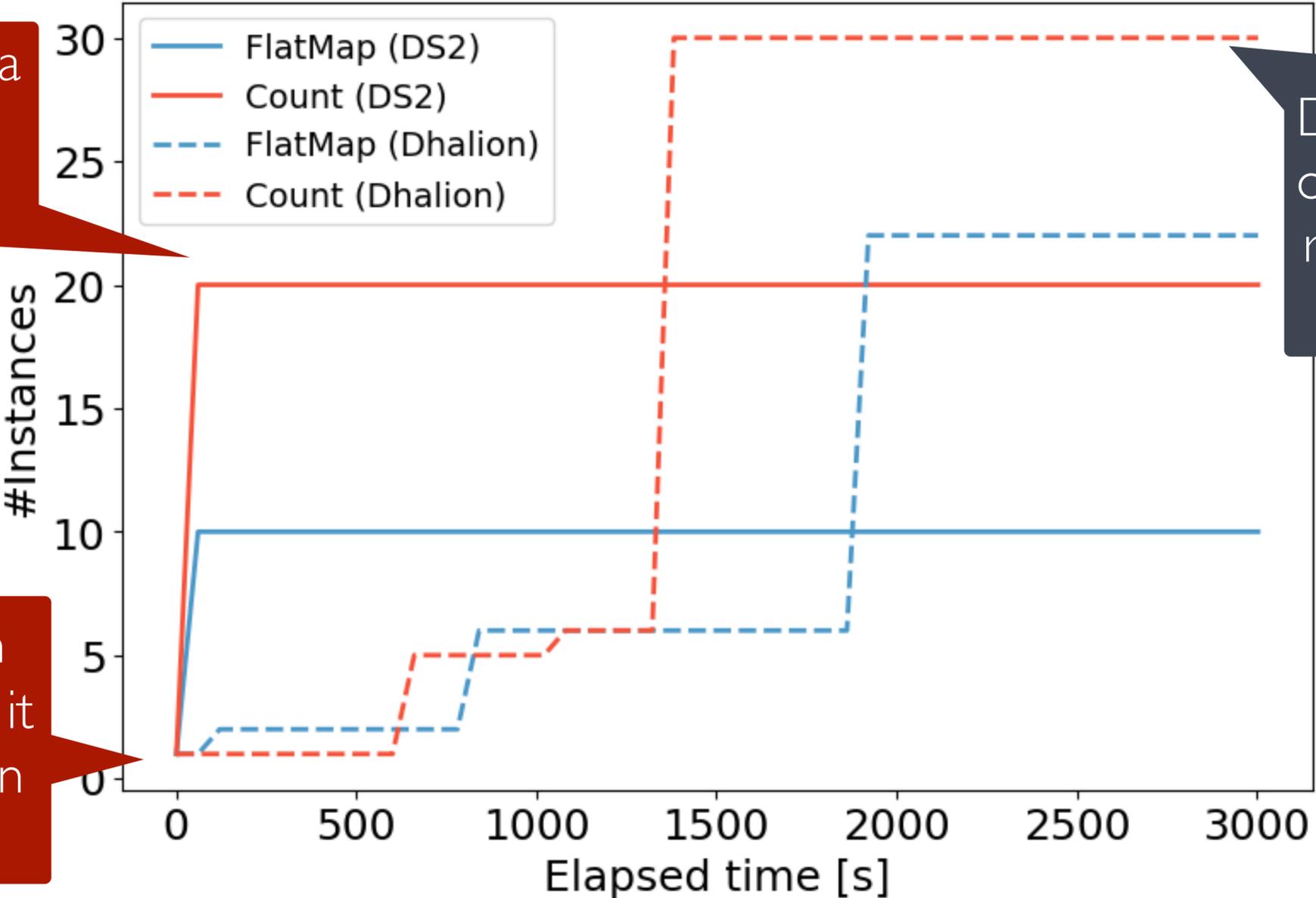
# DS2 VS. DHALION ON HERON

wordcount

DS2 converges in a **single step** for both operators

Dhalion scales one operator at a time, resulting to a total of **six steps**

DS2 converges in **60s**, i.e. as soon as it receives the Heron metrics



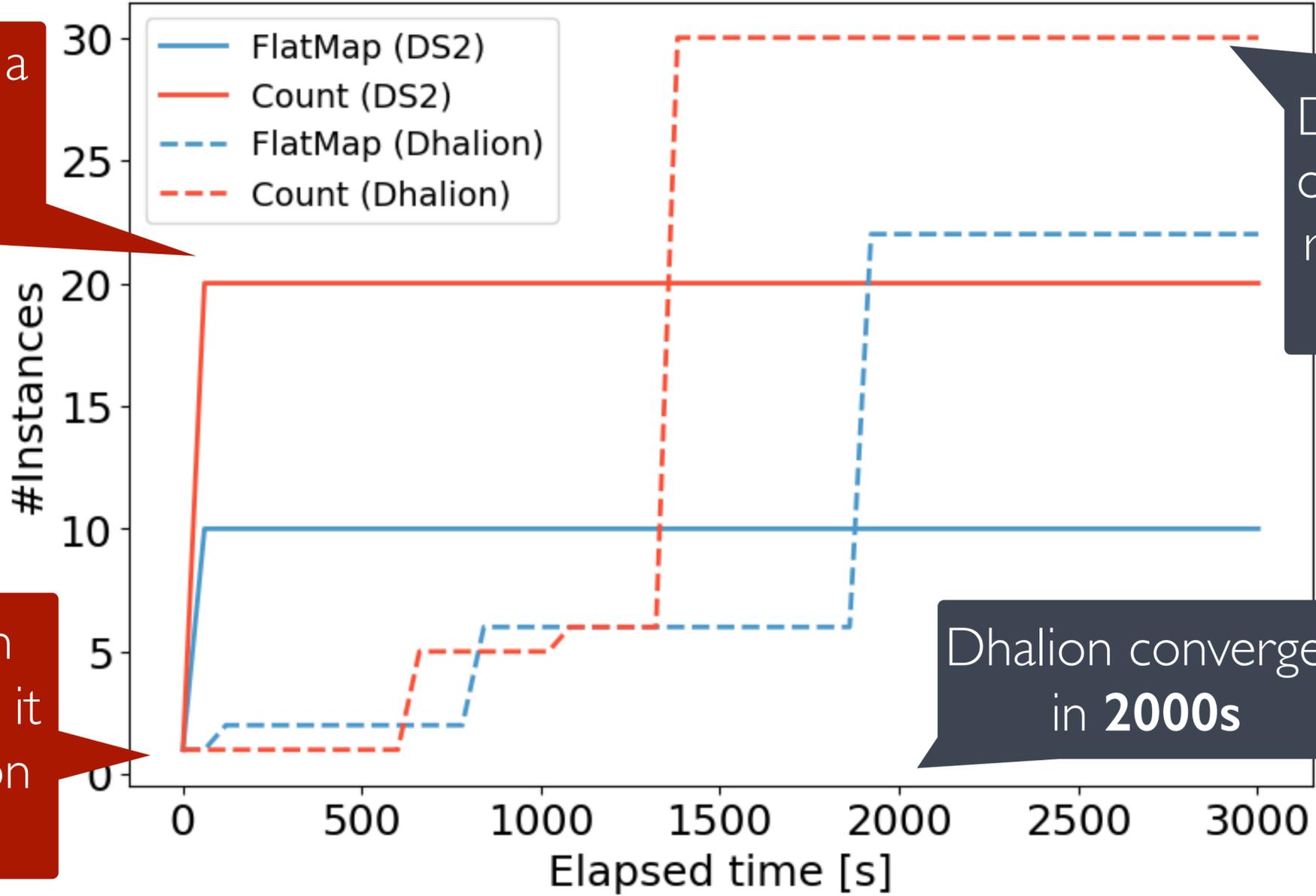
Target rate: 16.700 rec/s

# DS2 VS. DHALION ON HERON

wordcount

DS2 converges in a **single step** for both operators

DS2 converges in **60s**, i.e. as soon as it receives the Heron metrics



Dhalion scales one operator at a time, resulting to a total of **six steps**

Dhalion converges in **2000s**

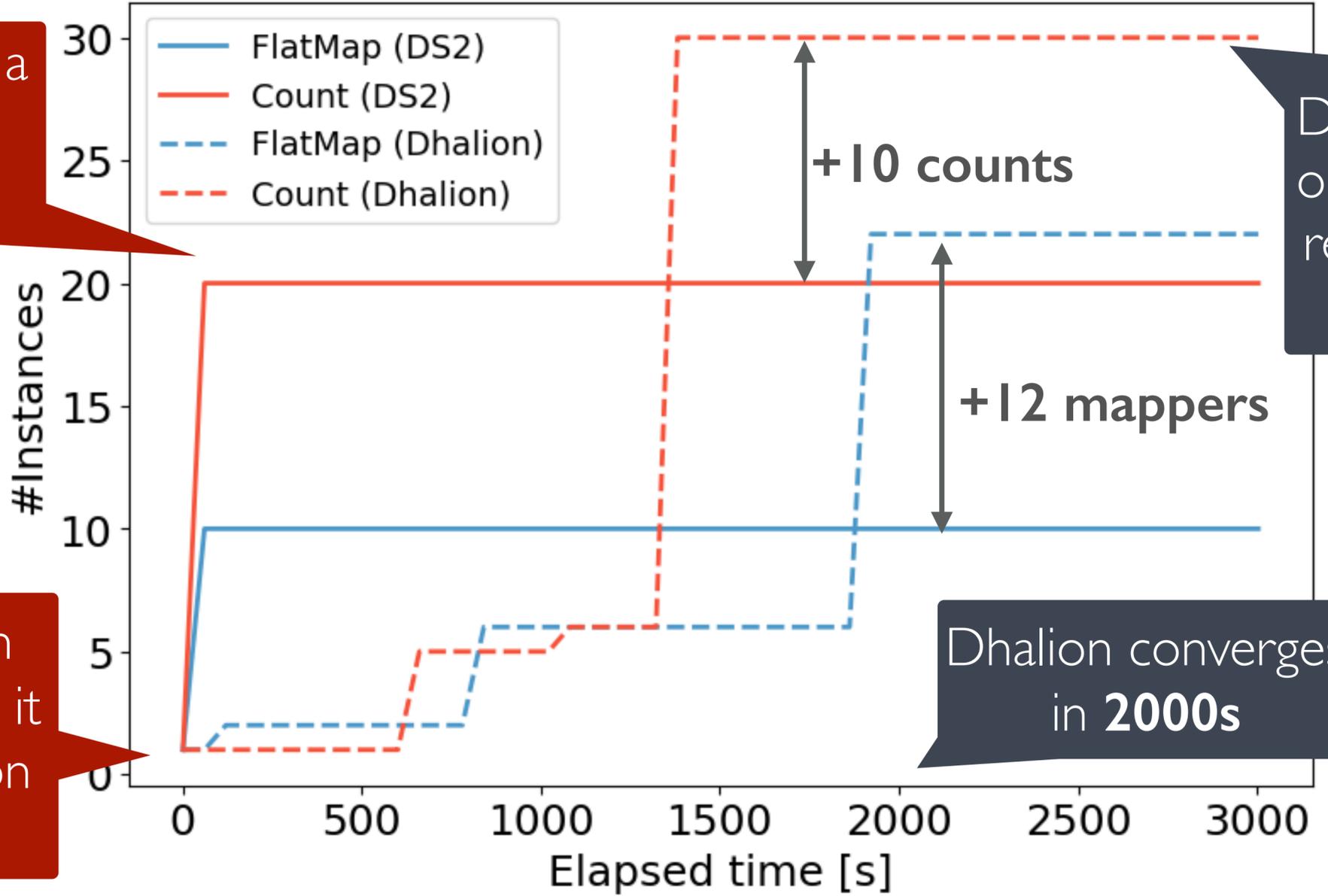
Target rate: 16.700 rec/s

# DS2 VS. DHALION ON HERON

wordcount

DS2 converges in a **single step** for both operators

DS2 converges in **60s**, i.e. as soon as it receives the Heron metrics



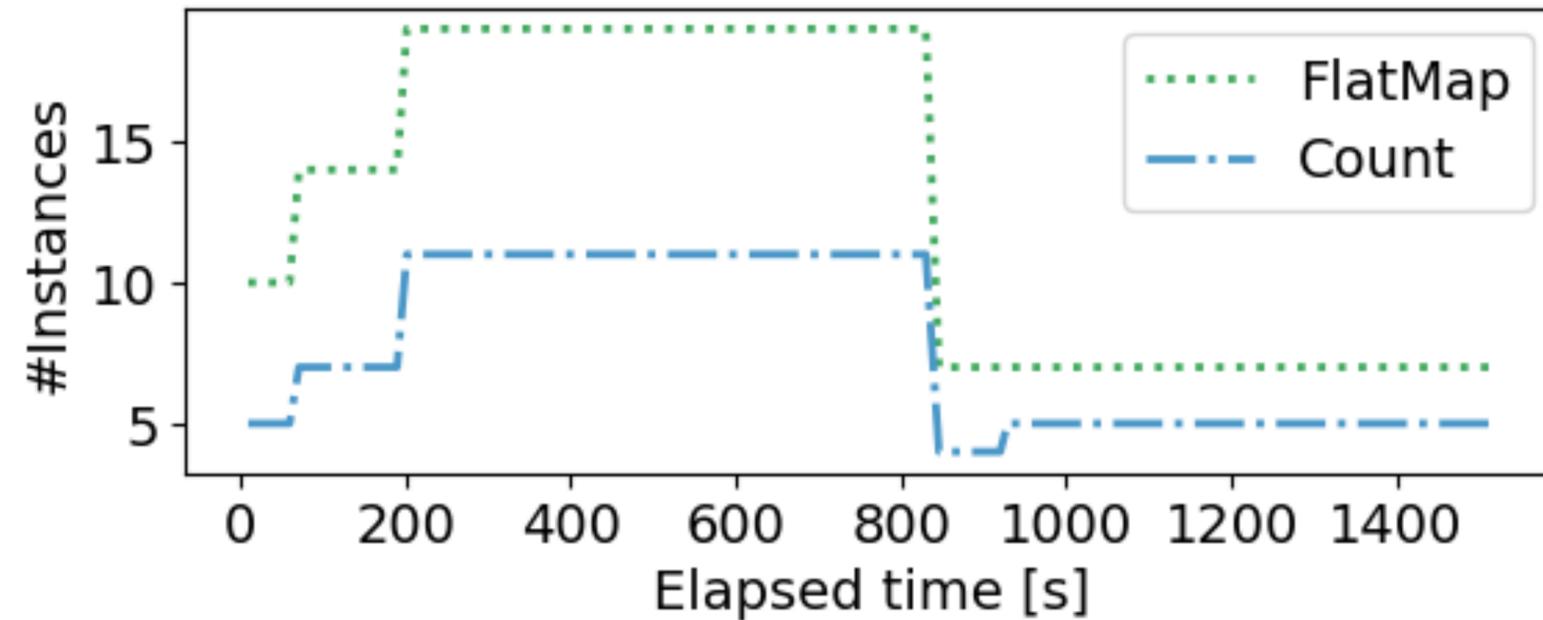
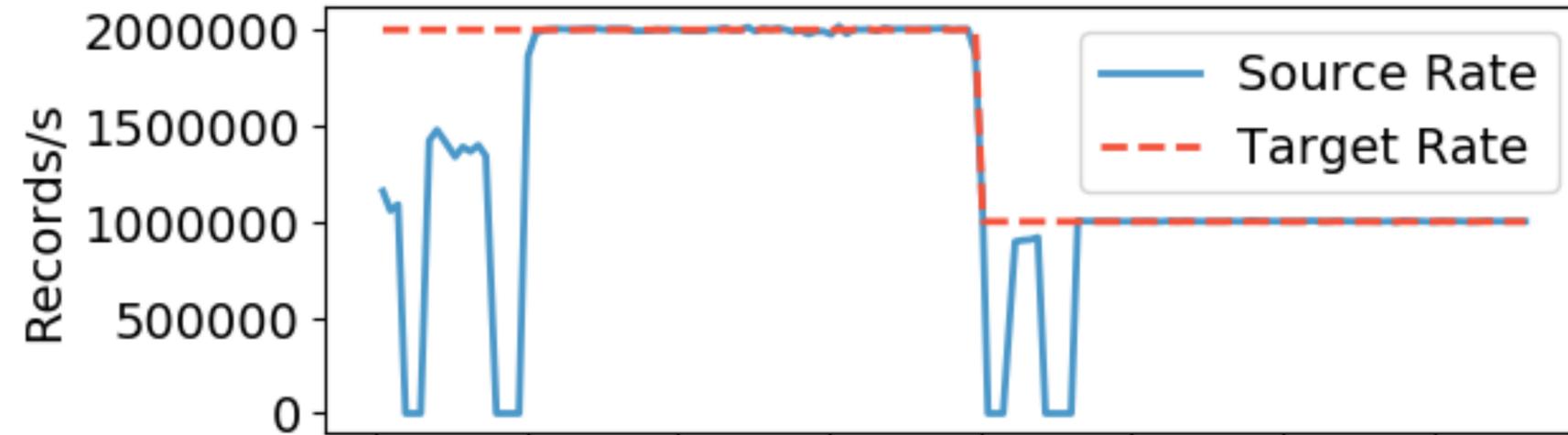
Dhalion scales one operator at a time, resulting to a total of **six steps**

Dhalion converges in **2000s**

Target rate: 16.700 rec/s

# DS2 ON APACHE FLINK

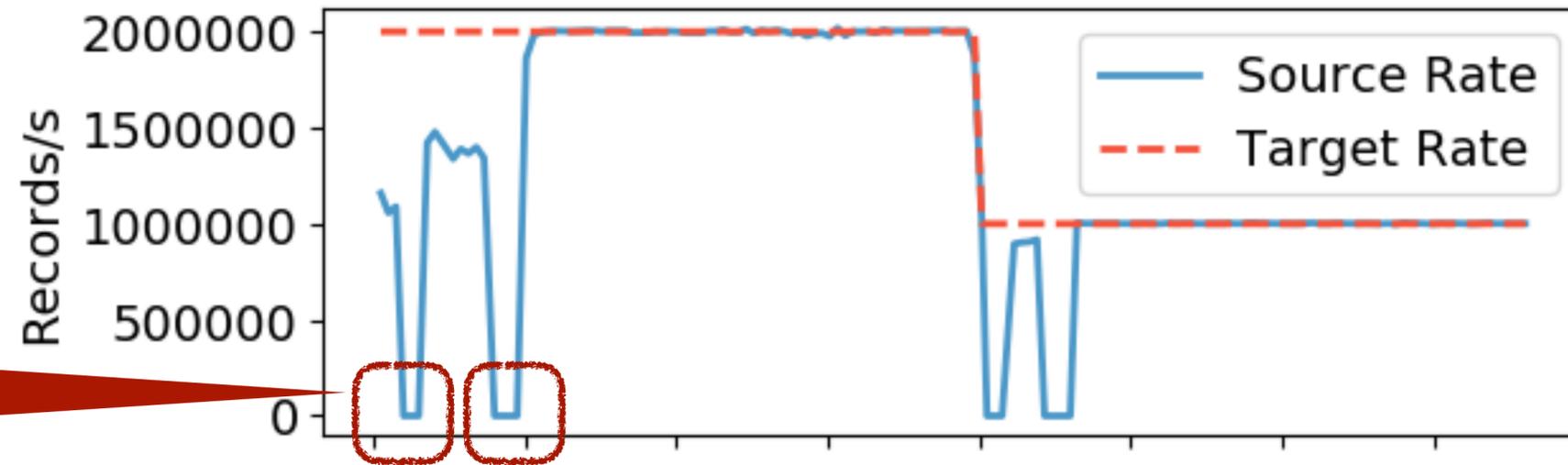
## wordcount



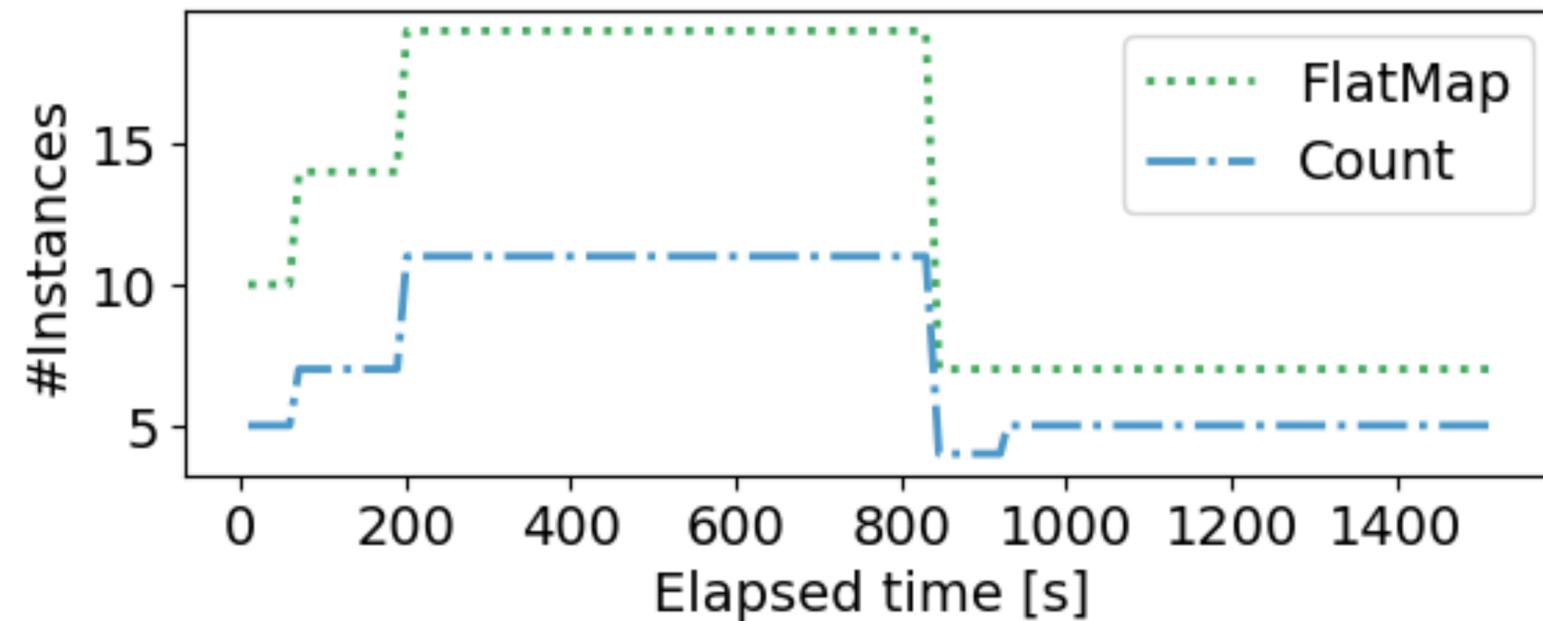
Target rate: 2.000.000 rec/s

# DS2 ON APACHE FLINK

## wordcount



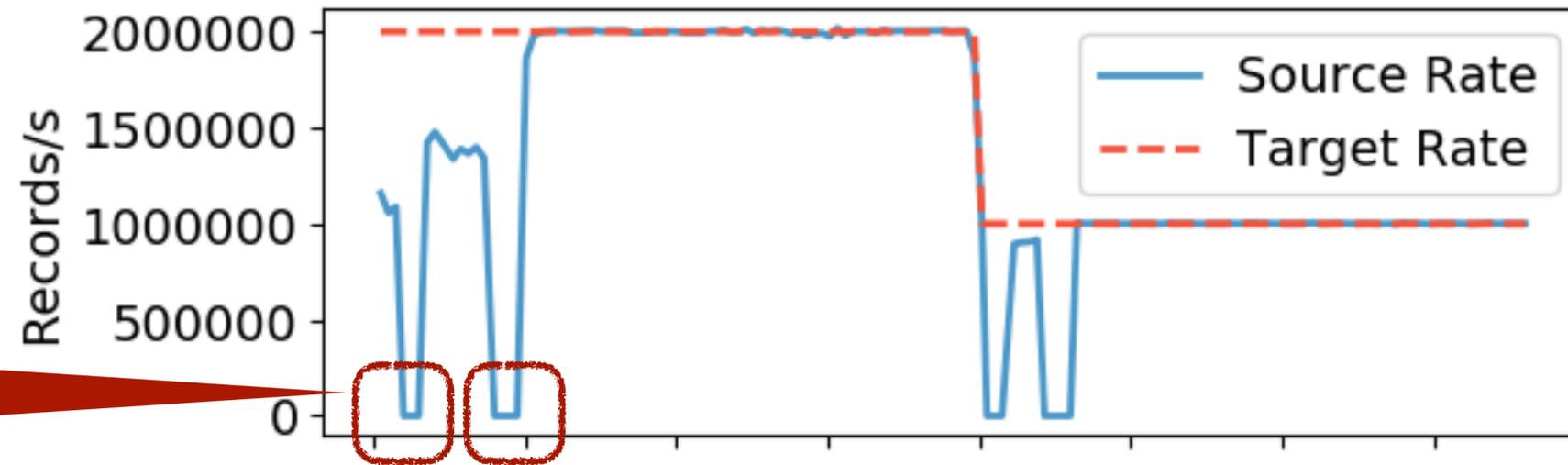
Apache Flink savepoint and reconfiguration takes ~30s



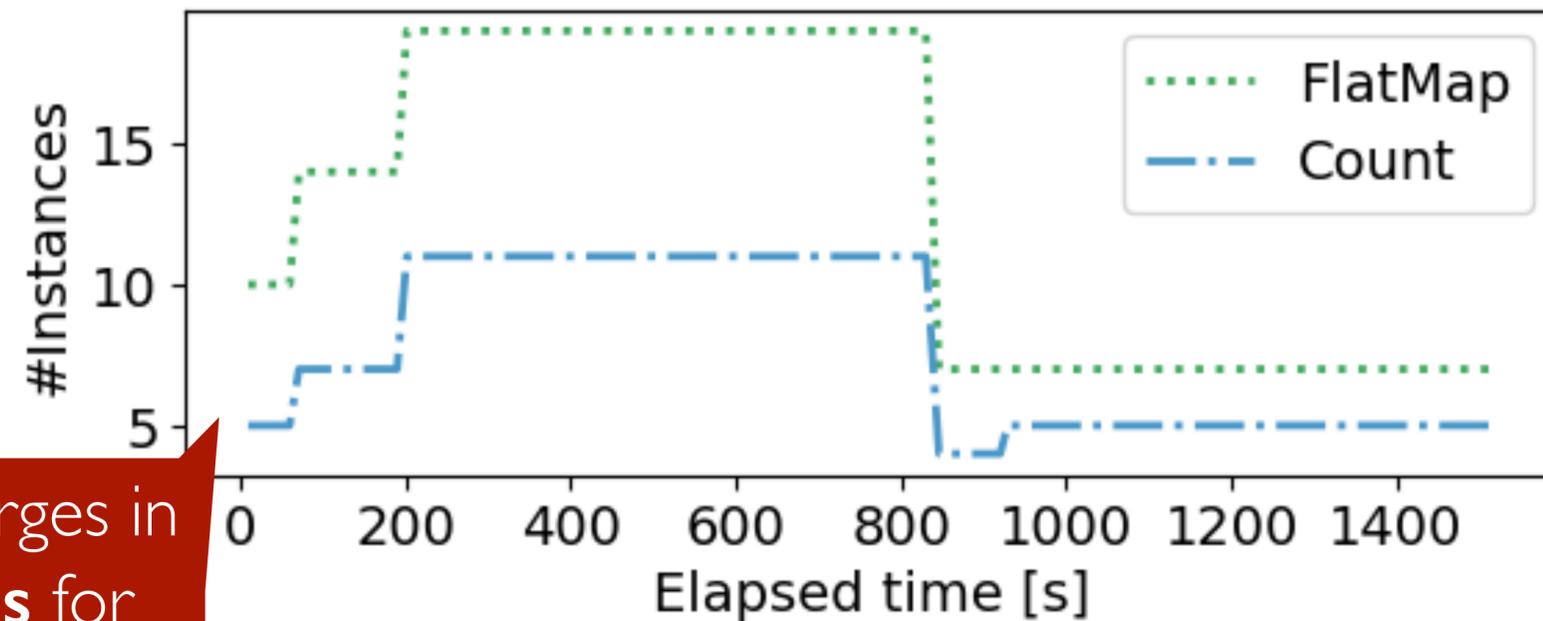
Target rate: 2.000.000 rec/s

# DS2 ON APACHE FLINK

## wordcount



Apache Flink savepoint and reconfiguration takes ~**30s**

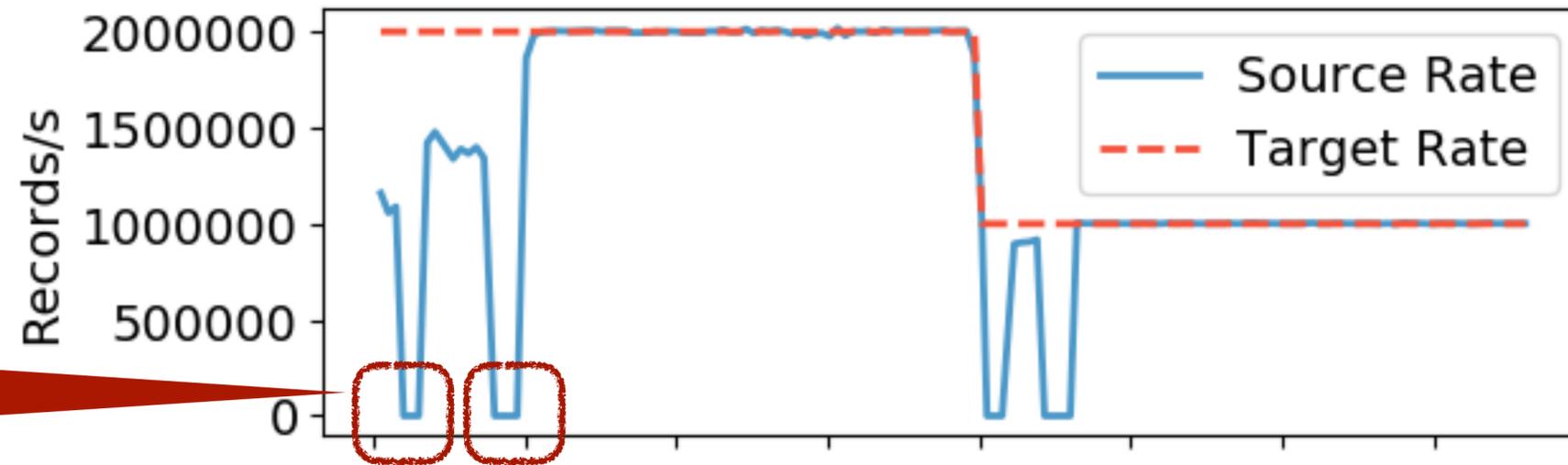


DS2 converges in **two steps** for both operators

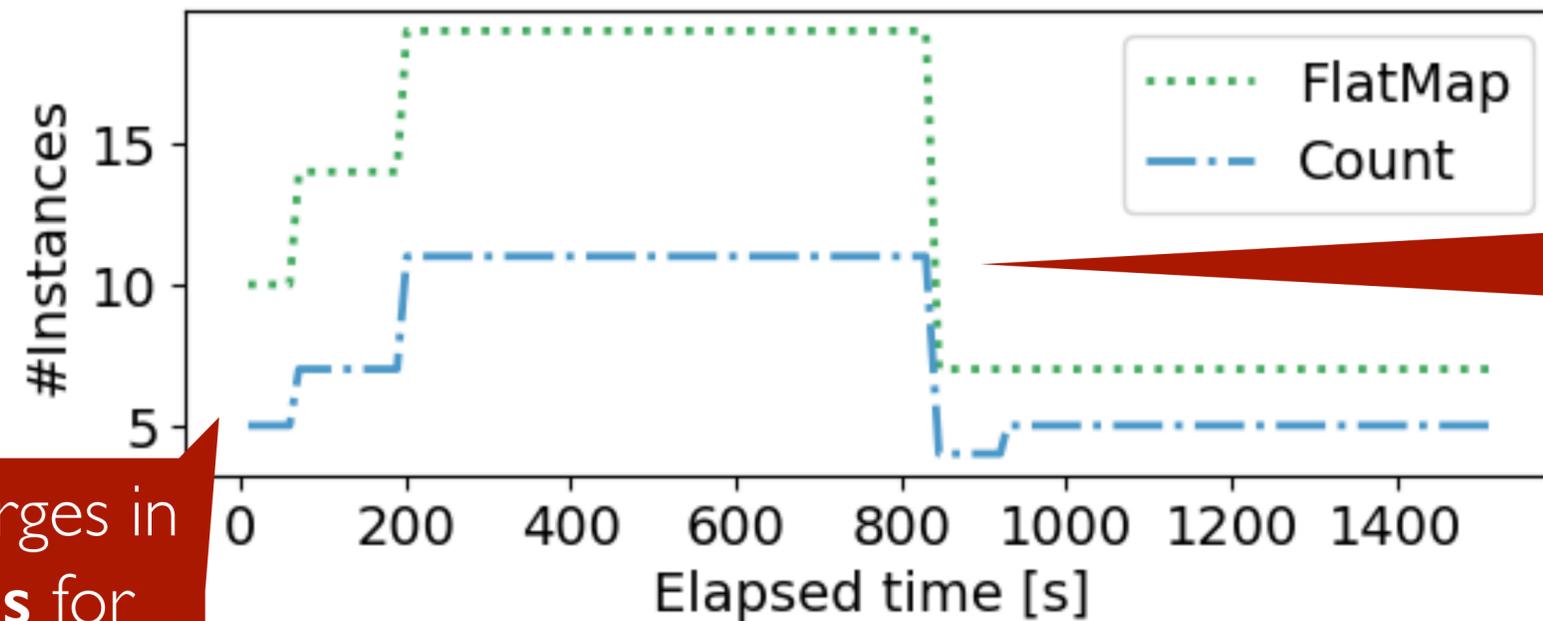
Target rate: **2.000.000 rec/s**

# DS2 ON APACHE FLINK

## wordcount



Apache Flink savepoint and reconfiguration takes ~**30s**



DS2 reacts **3s** after the target rate has changed

DS2 converges in **two steps** for both operators

Target rate: **2.000.000 rec/s**

# CONVERGENCE - NEXMARK

initial parallelism	Q1: flatmap	Q2: filter	Q3: incremental join	Q5: tumbling window join	Q8: sliding window	Q11: session window
8 =>	12 => <b>16</b>	11 => 13 => <b>14</b>	16 => <b>20</b>	14 => 15 => <b>16</b>	<b>10</b>	12 => 22 => <b>28</b>
12 =>	<b>16</b>	<b>14</b>	18 => <b>20</b>	<b>16</b>	<b>10</b>	22 => <b>28</b>
16 =>	<b>16</b>	12 => <b>14</b>	<b>20</b>	<b>16</b>	8 => <b>10</b>	26 => <b>28</b>
20 =>	<b>16</b>	13 => <b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
24 =>	<b>16</b>	<b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
28 =>	<b>16</b>	<b>14</b>	<b>20</b>	13 => <b>16</b>	8 => <b>10</b>	<b>28</b>

**=>** : scaling action

# CONVERGENCE - NEXMARK

initial parallelism	Q1: flatmap	Q2: filter	Q3: incremental join	Q5: tumbling window join	Q8: sliding window	Q11: session window
		<b>scale-up</b>				
8 =>	12 => <b>16</b>	11 => 13 => <b>14</b>	16 => <b>20</b>	14 => 15 => <b>16</b>	<b>10</b>	12 => 22 => <b>28</b>
12 =>	<b>16</b>		18 => <b>20</b>	<b>16</b>	<b>10</b>	22 => <b>28</b>
16 =>	<b>16</b>	12 => <b>14</b>	<b>20</b>	<b>16</b>	8 => <b>10</b>	26 => <b>28</b>
20 =>	<b>16</b>	13 => <b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
24 =>	<b>16</b>	<b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
28 =>	<b>16</b>	<b>14</b>	<b>20</b>	13 => <b>16</b>	8 => <b>10</b>	<b>28</b>

**=>** : scaling action

# CONVERGENCE - NEXMARK

initial parallelism	Q1: flatmap	Q2: filter	Q3: incremental join	Q5: tumbling window join	Q8: sliding window	Q11: session window
		<b>scale-up</b>				
8 =>	12 => <b>16</b>	11 => 13 => <b>14</b>	16 => <b>20</b>	14 => 15 => <b>16</b>	<b>10</b>	12 => 22 => <b>28</b>
12 =>	<b>16</b>		18 => <b>20</b>	<b>16</b>	<b>10</b>	22 => <b>28</b>
16 =>	<b>16</b>	12 => <b>14</b>	<b>20</b>	<b>16</b>	8 => <b>10</b>	26 => <b>28</b>
20 =>	<b>16</b>	13 => <b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
24 =>	<b>16</b>	<b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
28 =>	<b>16</b>	<b>14</b>	<b>20</b>	13 => <b>16</b>	8 => <b>10</b>	<b>28</b>

**scale-down**

=> : scaling action

# CONVERGENCE - NEXMARK

initial parallelism	Q1: flatmap	Q2: filter	Q3: incremental join	Q5: tumbling window join	Q8: sliding window	Q11: session window	
8 =>	12 => <b>16</b>	11 => 13 => <b>14</b>	16 => <b>20</b>	14 => 15 => <b>16</b>	<b>10</b>	12 => 22 => <b>28</b>	
12 =>	<b>16</b>		14	18 => <b>20</b>	<b>16</b>	<b>10</b>	22 => <b>28</b>
16 =>	<b>16</b>	12 => <b>14</b>	<b>20</b>	<b>16</b>	8 => <b>10</b>	26 => <b>28</b>	
20 =>	<b>16</b>	13 => <b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>	
24 =>	<b>16</b>	<b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>	
28 =>	<b>16</b>	<b>14</b>	<b>20</b>	13 => <b>16</b>	8 => <b>10</b>	<b>28</b>	

**scale-up**

**scale-down**

**a single step** for many queries and initial configurations

**=>** : scaling action

# CONVERGENCE - NEXMARK

initial parallelism	Q1: flatmap	Q2: filter	Q3: incremental join	Q5: tumbling window join	Q8: sliding window	Q11: session window
8 =>	12 => <b>16</b>	11 => 13 => <b>14</b>	16 => <b>20</b>	14 => 15 => <b>16</b>	<b>10</b>	12 => 22 => <b>28</b>
12 =>	<b>16</b>	<b>14</b>	18 => <b>20</b>	<b>16</b>	<b>10</b>	22 => <b>28</b>
16 =>	<b>16</b>	12 => <b>14</b>	<b>20</b>	<b>16</b>	8 => <b>10</b>	26 => <b>28</b>
20 =>	<b>16</b>	13 => <b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
24 =>	<b>16</b>	<b>14</b>	<b>20</b>	14 => <b>16</b>	8 => <b>10</b>	<b>28</b>
28 =>	<b>16</b>	<b>14</b>	<b>20</b>	13 => <b>16</b>	8 => <b>10</b>	<b>28</b>

at most **3** steps

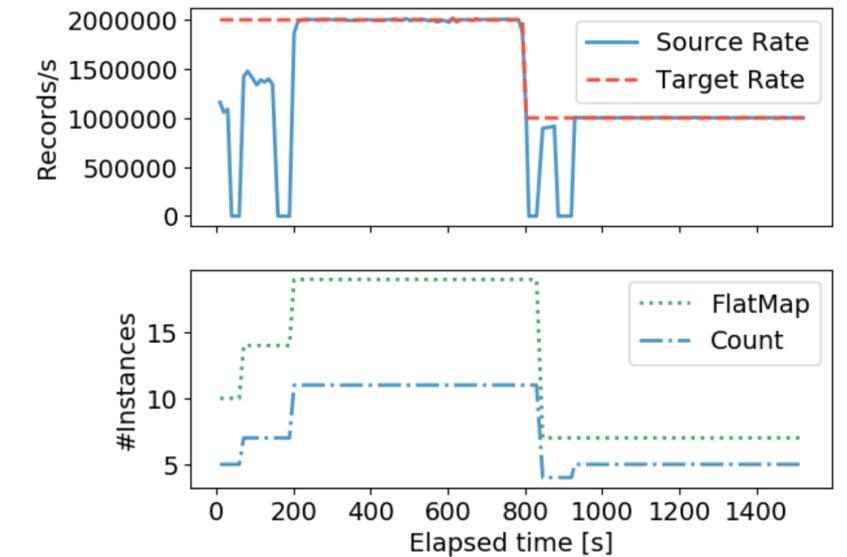
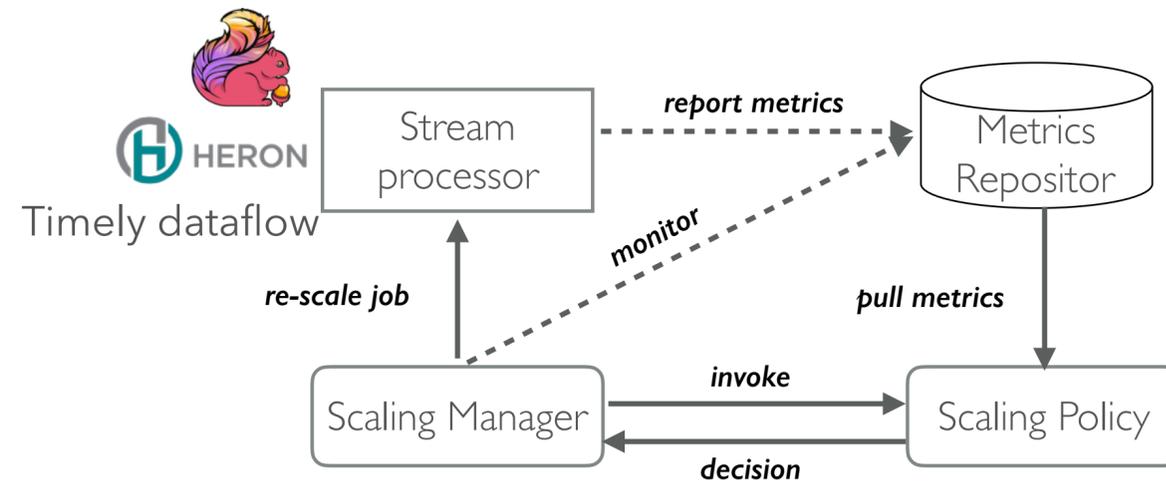
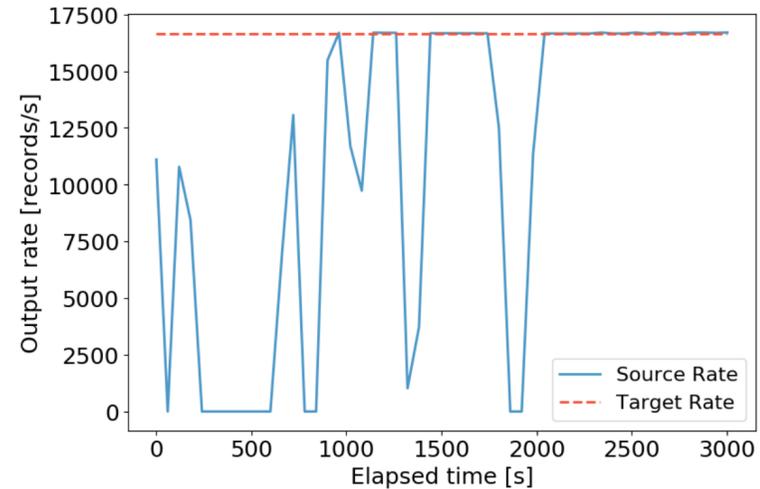
**scale-up**

**scale-down**

**a single step** for many queries and initial configurations

=> : scaling action

# RECAP



**Observed** metrics **threshold-based policies** can lead to **oscillations, misconfiguration** and **slow convergence**.

DS2 uses **instrumentation** to measure **true processing** and **output rates** and estimate parallelism for all operators at once.

DS2 makes **fast** and **accurate** scaling decisions and converges in up to **three steps** even for non-linear, **complex dataflows**.



<https://github.com/strymon-system/ds2>



# Three steps is all you need

fast, accurate, automatic scaling decisions  
for distributed streaming dataflows

**Vasiliki Kalavri**<sup>†</sup>, John Liagouris<sup>†</sup>, Moritz Hoffmann<sup>†</sup>,  
Desislava Dimitrova<sup>†</sup>, Matthew Forshaw<sup>††</sup>, Timothy Roscoe<sup>†</sup>

<sup>†</sup>Systems Group, Department of Computer Science, ETH Zürich, [firstname.lastname@inf.ethz.ch](mailto:firstname.lastname@inf.ethz.ch)

<sup>††</sup>Newcastle University, [firstname.lastname@newcastle.ac.uk](mailto:firstname.lastname@newcastle.ac.uk)

Support:



vmware<sup>®</sup> RESEARCH