### An Introduction to Software Radio

(and a bit about GNU Radio & the USRP)

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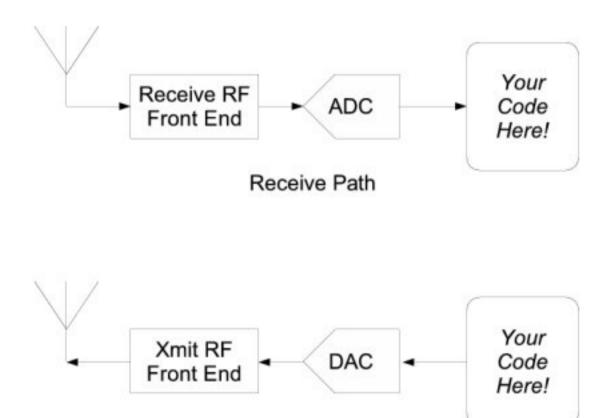
www.gnu.org/software/gnuradio comsec.com/wiki

USENIX / Boston / June 3, 2006

## What's Software Radio?

- It's a technique for building wireless communication systems.
- Get the software as close to the antenna as you can.
- No modulation specific h/w
- Software defines the signals transmitted, sample by sample.
- Software demodulates/decodes the samples received.

### S/W Radio Block Diagram



**Transmit Path** 

### Pros...

- Extreme flexibility
- On the fly reconfiguration
- Can do multiple (different) things simultaneously
- Much quicker development cycle
- In-field upgrades are possible
- No soldering irons required...
   It's a simple matter of programming!

### Cons...

- Relatively high power consumption relative to fixed function ASICs.
- Higher cost if flexibility not important
- High symbol rate systems require FPGA or ASIC to support data rates
- A/D performance is limiting factor

# Why now?

- Low cost of compute cycles & memory
  - General Purpose Processor (GPP)
  - Digital Signal Processor (DSP)
  - Field Programmable Gate Array (FPGA)
- A/D's and D/A's are now "good enough"

## Where is it used today?

- Military
- Research: Academic & Industry
- Cellular basestations
- SIGINT

### Expected uses

- Public Safety interoperability
- Handsets (enabled by new DSPs)
- New personal communicators
- New kinds of networks

### Wireless networking

- Life beyond WLAN and broadcast
- Software radio provides flexibility
- All parts of the stack are hackable
- Take advantage of multicast nature of the medium
- Lots of research opportunities

### Still need some h/w

- Getting from RF to samples
- Getting from samples to RF

# RF / IF / samples

- Usually two steps:
  - RF to IF (downconversion)
  - Sample at IF
- Either direct conversion or superheterodyne
- Can sample at baseband or passband

- Nyquist: need > 2 \* bandwidth of interest

## A/D performance

- Sample rate
  - kHz to GHz
- Resolution
  - 8 to 24 bits
- Spurious free dynamic range (SFDR)

- maxes out at about 110 dB SFDR

# Analog vs Digital Processing

- Analog:
  - Tremendous dynamic range
  - Non-ideal behavior
  - Variation from part to part
  - Variation over temp & time
- Digital:
  - Perfectly reproducible behavior
  - Complex operations are easy

# Cognitive Radio

- S/W Radio + "AI"
- Observe the environment (RF, regulatory...)
- Evolve operating configuration
  - E.g., frequency, modulation, channel coding...
- Optimize what?

## S/W Radio Tools & Frameworks

- C / C++
- MATLAB / SIMULINK
- Software Communications Architecture (SCA)
  - Used in Joint Tactical Radio System (JTRS)
  - CORBA is the answer, what was the question?
- GNU Radio (Python and C++)

## **Regulatory issues**

- FCC: politicians, lawyers, economists, engineers
  - s/w radio is an enabling technology
  - Helps with "spectrum scarcity"
  - How to control / regulate?
- Some argue justification for FCC is gone
  - What is "interference"?
- Property vs Commons
  - What if each cow brought its own grass?

### And on to GNU Radio...

## What's GNU Radio?

- Free software toolkit for:
  - Building and deploying software radios
  - Learning about DSP and communication systems
  - Creating new kinds of radios, modulations, protocols, development environments...
- Licensed under GPL
- A community effort

## GNU Radio Architecture / Impl

- Data flow abstraction
  - Signal processing blocks and connections between them
- Event based overlay
  - Message Queues and Messages
- Hybrid C++ / Python system
- Typically run on general purpose processor
- "Hello World" example

### Hello World

```
#!/usr/bin/env python
from gnuradio import gr
from gnuradio import audio
class my graph(gr.flow graph):
   def init (self):
       gr.flow_graph.__init (self)
        sample rate = 48000
        ampl = 0.1
        src0 = gr.sig source f(sample rate, gr.GR SIN WAVE, 350, ampl)
        src1 = gr.sig_source_f(sample_rate, gr.GR_SIN_WAVE, 440, ampl)
        dst = audio.sink(sample rate)
        self.connect(src0, (dst, 0))
        self.connect(src1, (dst, 1))
if __name__ == '_ main ':
   try:
       my graph().run()
   except KeyboardInterrupt:
        pass
```

# Signal Processing Blocks

- Input streams and output streams
- I/O signature
  - Type of each stream is specified
  - Blocks specifies constraints on # of streams
- Relative i/o rates
  - Fixed 1:1, Fixed interp 1:N, Fixed decim N:1
  - Variable

# Who's using GNU Radio?

- Academic researchers
- Industry / DARPA researchers
- Various government research groups
- Hackers
- Hams
- Radio Astronomers
- Scanning Probe Microscopists

# Applications

- Transceivers
- Research in wireless networking
- Ad-hoc networks
- MIMO
- STAP / Adaptive beam forming
- Cognitive Radio
- Passive Radar (PCL)
- Geolocation
- SIGINT
- Conventional Amateur stuff
- Radio Astronomy

# **Cognitive Radio**

- Many efforts using GNU Radio
  - DARPA ACERT (BBN)
  - Virginia Tech
  - CMU
  - Rutgers WINLAB
- Often in combination with Click Modular Router

## Waveforms

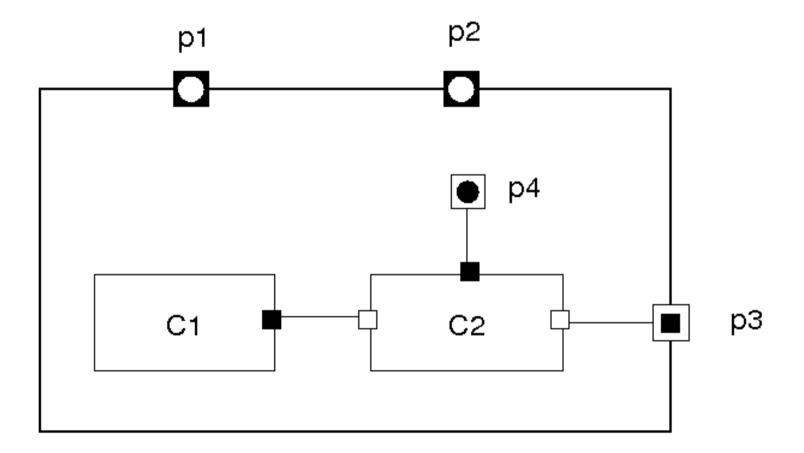
- Now:
  - AM, FM, SSB
  - ATSC VSB-8
  - FSK, GMSK, PSK
- Coming:
  - OFDM
  - Fast Freq Hopper
  - Direct Sequence

### Coming attractions...

## "Message Blocks"

- More natural support for packetized data
- Leverage existing code base
- Abstractions:
  - Blocks / Messages / Protocol classes / Ports
  - Connections between end points
- Data + metadata (packet annotation)
- Support for precise timing
- Hierarchical composition
- Nest "classic" GNU Radio within m-block

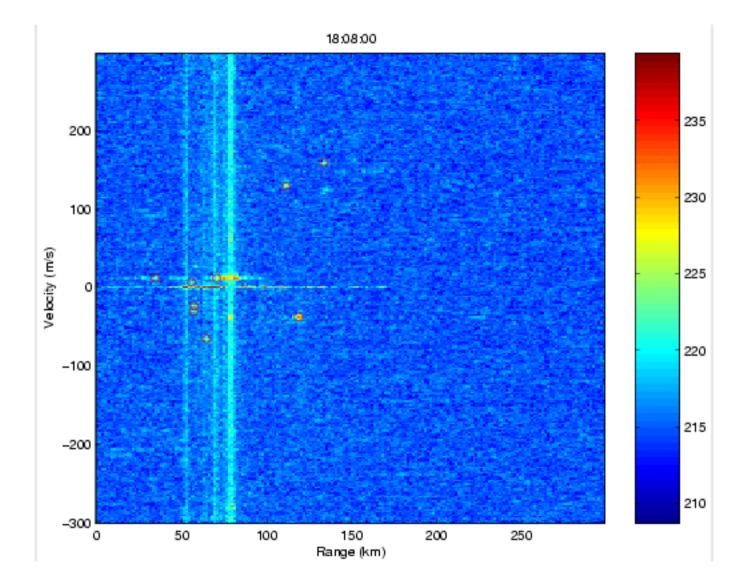
### "Message Blocks" (2)



# Passive Radar (PCL)

- Use existing transmitters (e.g., TV, Radio)
- Very high dynamic range front end
- 2 x 2 phased array
- TDOA, doppler, angle of arrival
- ESPRIT
- output: position, velocity, object class
- Superresolution techniques

#### **Existence** proof!



### The USRP

• Why?

### Sound Cards, etc

- Relatively low sampling rate
  - 48 kHz or 96 kHz, 16 or 24 bits
- Good for audio input and output
- Can be used with narrow and low IF
- Examples
  - Narrow band HF (SDR 1000)
  - "Digital Radio Mundial"

### Wide Band I/O

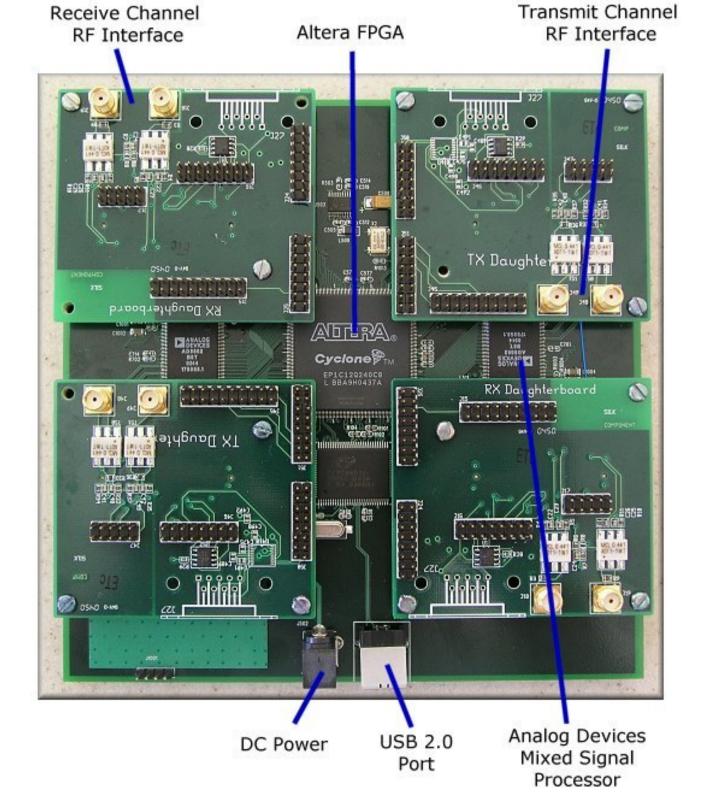
- PCI A/D and D/A Cards
  - Good Bus Bandwidth
  - Expensive to Very Expensive (\$1k \$10k)
  - Still need RF Front End

# VXI / cPCI / ...

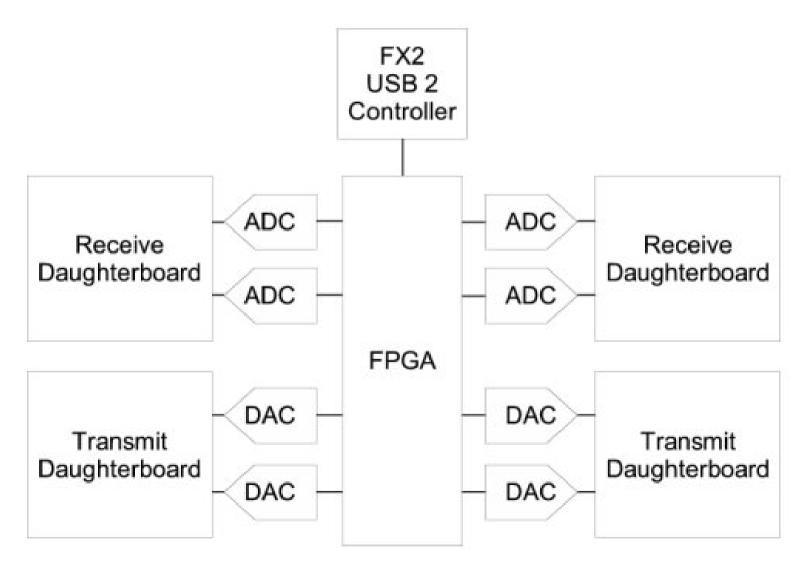
- Card cages full of cards
  - RF Front Ends
  - Digital Receiver / Transmitter
    - Typically A/D, D/A + FPGA or ASIC
  - FPGA / DSP / GPP
- High speed interconnect
- Lots of choices
- Typically very expensive.

# USRP

- 80% solution at 10% of the cost
- Low cost
- Small / portable
- Design is completely open
- Multiple coherent channels



## **USRP Block Diagram**



### Available RF Daughterboards

- 400 MHz 500 MHz transceiver
- 800 MHz 1 GHz transceiver
- 2.4 2.5 GHz transceiver
- 50 MHz 800 MHz receive only
- 800 MHz 2.4 GHz receive only
- Basic Tx and Rx (baseband i/o)

### emulab.net

- University of Utah networking testbed
- Expect 20 nodes around campus by end of year. Uses USRP hardware with:
  - 2.4 GHz transceivers (?)
  - 400 MHz 500 MHz transceivers (?)
  - 50 MHz 800 MHz receive only

### Resources

- GNU Radio:
  - http://www.gnu.org/software/gnuradio
  - discuss-gnuradio mailing list
  - http://comsec.com/wiki
- USRP:
  - http://www.ettus.com

#### Questions?

